
Santa Cruz
Behavioral Health Services
Adult Needs and Strengths
Assessment (ANSA)
version 2.0
Ages 21+

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REFERENCE
GUIDE

ACKNOWLEDGEMENTS

A large number of individuals have collaborated in the development of the Adult Needs and Strengths Assessment. This information integration tool is designed to support individual case planning and the planning and evaluation of service systems. The ANSA is an open domain tool for use in multiple individual-serving systems that address the needs and strengths of individuals and their families. The copyright is held by the Praed Foundation to ensure that it remains free to use. Training and annual certification is expected for appropriate use.

We are committed to creating a diverse and inclusive environment. It is important to consider how we are precisely and inclusively using individual words. As such, this reference guide uses the gender-neutral pronouns “they/them/themselves” in the place of “he/him/himself” and “she/her/herself.”

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INTRODUCTION

THE ANSA

The ANSA is a multiple purpose information integration tool that is designed to be the output of an assessment process. The purpose of the ANSA is to accurately represent the shared vision of the individual-serving system—individuals and families. As such, completion of the ANSA is accomplished in order to allow for the effective communication of this shared vision for use at all levels of the system. Since its primary purpose is communication, the ANSA is designed based on communication theory rather than using psychometric theories that have influenced most measurement development. There are six key principles of a communimetric measure that apply to understanding the ANSA.

SIX KEY PRINCIPLES OF THE ANSA

1. **Items were selected because they are each relevant to service/treatment planning.** An item exists because it might lead you down a different pathway in terms of planning actions.
2. **Each item uses a 4-level rating system designed to translate immediately into action levels.** Different action levels exist for needs and strengths. For a description of these action levels please see below.
3. **Rating should describe the individual, not the individual in services.** If an intervention is present that is masking a need but must stay in place, this should be factored into the rating consideration and would result in a rating of an “actionable” need (i.e. ‘2’ or ‘3’).
4. **Culture and development should be considered prior to establishing the action levels.** Cultural sensitivity involves considering whether cultural factors are influencing the expression of needs and strengths. Ratings should be completed considering the individual’s developmental and/or chronological age depending on the item. In other words, anger control is not relevant for a very young child but would be for an older child or young adult regardless of developmental age. Alternatively, school achievement should be considered within the framework of expectations based on the individual’s developmental age.
5. **The ratings are generally “agnostic as to etiology.”** In other words this is a descriptive tool; it is about the “what” not the “why.” While most items are purely descriptive, there are a few items that consider cause and effect; see individual item descriptions for details on when the “why” is considered in rating these items.
6. **A 30-day window is used for ratings in order to make sure assessments stay “fresh” and relevant to the individual’s present circumstances.** However, the action levels can be used to over-ride the 30-day rating period.

HISTORY AND BACKGROUND OF THE ANSA

The Adult Needs and Strengths Assessment is a multi-purpose tool developed to support care planning and level of care decision-making, to facilitate quality improvement initiatives, and to allow for the monitoring of outcomes of services. The ANSA was developed from a communication perspective in order to facilitate the linkage between the assessment process and the design of individualized service plans including the application of evidence-based practices.

The ANSA gathers information on individuals and their parents/caregivers’ needs and strengths (if relevant). Strengths are the individual’s assets: areas in life where they are doing well or have an interest or ability. Needs are areas where an individual requires help or serious intervention. Care providers use an assessment process to get to know the individual and families with whom they work and to understand their strengths and needs. The ANSA helps care providers decide which of an individual’s needs are the most important to address in a treatment or service plan. The ANSA also helps identify strengths, which can be the basis of a treatment or service plan. By working with the individual and family (if relevant) during the assessment process and talking together about the ANSA, care providers can develop a treatment or service plan that addresses an individual’s strengths and needs while building strong engagement.

The ANSA is made of domains that focus on various areas in an individual's life, and each domain is made up of a group of specific items. There are domains that address how the individual functions in everyday life, on specific emotional or behavioral concerns, on risk behaviors, on strengths and on skills needed to grow and develop. There is also a section that asks about the family's beliefs and preferences, and about general family concerns that can be rated if relevant. The provider gives a number rating to each of these items. These ratings help the provider, individual and family understand where intensive or immediate action is most needed, and also where an individual has assets that could be a major part of the treatment or service plan.

The ANSA ratings, however, do not tell the whole story of an individual's strengths and needs. Each section in the ANSA is merely the output of a comprehensive assessment process and is documented alongside narratives where a care provider can provide more information about the individual.

HISTORY

The Adult Needs and Strengths Assessment grew out of John Lyons' work in modeling decision-making for psychiatric services. To assess appropriate use of psychiatric hospital and residential treatment services, the Childhood Severity of Psychiatric Illness (CSPI) tool was created. This measure assessed those dimensions crucial to good clinical decision-making for intensive mental health service interventions and was the foundation of the ANSA. The CSPI tool demonstrated its utility in informing decision-making for residential treatment (Lyons, Mintzer, Kisiel, & Shallcross, 1998) and for quality improvement in crisis assessment services (Lyons, Kisiel, Dulcan, Chesler & Cohen, 1997; Leon, Uziel-Miller, Lyons, & Tracy, 1998). The strength of this measurement approach has been that it is face valid and easy to use, yet provides comprehensive information regarding clinical status.

The ANSA assessment builds upon the methodological approach of the CSPI, but expands the assessment to include a broader conceptualization of needs and an assessment of strengths – both of the individual and the parent/caregiver, looking primarily at the 30-day period prior to completion of the ANSA. It is a tool developed with the primary objective of supporting decision making at all levels of care: individuals and families, programs and agencies, individual serving systems. It provides for a structured communication and critical thinking about the individual and their context. The ANSA is designed for use either as a prospective assessment tool for decision support and recovery planning or as a retrospective quality improvement device demonstrating an individual's progress. It can also be used as a communication tool that provides a common language for all individual-serving entities to discuss the individual's needs and strengths. A review of the case record in light of the ANSA assessment tool will provide information as to the appropriateness of the recovery plan and whether individual goals and outcomes are achieved.

Annual training and certification is required for providers who administer the ANSA and their supervisors. Additional training is available for ANSA super users as experts of ANSA assessment administration, scoring, and use in the development of service or recovery plans.

MEASUREMENT PROPERTIES

The ANSA was the first communimetric measure developed that now represent the suite of TCOM tools used for decision support, quality improvement and outcomes monitoring. Originally called the Severity of Psychiatric Illness (SPI) and the Acuity of Psychiatric Illness (API), these tools were originally conceived for use in adult acute psychiatric services. A body of research was developed that demonstrated that the SPI was a valid decision support for psychiatric hospitalization decision making (Lyons, Stutesman, Neme, Vessey, O'Mahoney, & Camper, 1997; George, Durbin, Sheldon, & Goering, 2002; Mulder, Koopman, & Lyons, 2005; Marten-Santos, et al., 2006) and in combination these tools could provide important information on the quality and outcomes of care in acute settings (Lyons, O'Mahoney, Miller, Neme, Kabot, & Miller, 1997; Lansing, Lyons, Martens, O'Mahoney, Miller, & Obolsky, 1997; Goodwin & Lyons, 2001; Foster, Lefauve, Kresky-Wolff, & Rickards, 2009). The individual items of the SPI were shown to have concurrent validity with more traditional psychometric measures of similar constructs (Lyons, Colletta, Devens, & Finkel, 1995).

The SPI and API evolved into the ANSA when strengths were added (Anderson & Lyons, 2001). While the strength movement was initiated within the child serving system, there is good reason to believe that strengths are equally important across the life span. This may be particularly true for young people transitioning to adulthood (Cappelli, et al., 2014). The vast majority of people with serious mental illness live full lives in the community without

significant interaction with the public or private mental health system and there are reasons to believe that this functional capacity is related to the presence of strengths. Much like with any chronic disease, it is how the individual learns to live with it that is the true outcome, not if it can be cured. Building and sustaining strengths for people with serious mental illness is likely an important outcome priority of the public health system.

Research has demonstrated that the individual item structure of the ANSA is valid and reliable (Lyons, et al., 1995; Anderson & Lewis, 2000; Nelson & Johnston, 2008).

In sum, there is solid evidence from multiple, independent research groups in the United States and Europe, along with ongoing field experience, that the ANSA is a reliable and valid clinical and functional assessment for adults with mental health and developmental challenges.

RATING NEEDS & STRENGTHS

The ANSA is easy to learn and is well liked by individuals and families, providers and other partners in the services system because it is easy to understand and does not necessarily require scoring in order to be meaningful to the individual and family.

- ★ Basic core items – grouped by domain - are rated for all individuals.
- ★ A rating of 1, 2 or 3 on key core questions triggers extension modules.
- ★ Individual assessment module questions provide additional information in a specific area.

Each ANSA rating suggests different pathways for service planning. There are four levels of rating for each item with specific anchored definitions. These item level definitions, however, are designed to translate into the following action levels (separate for needs and strengths):

Basic Design for Rating Needs

Rating	Level of Need	Appropriate Action
0	No evidence of need	No action needed
1	Significant history or possible need that is not interfering with functioning	Watchful waiting/prevention/additional assessment
2	Need interferes with functioning	Action/intervention required
3	Need is dangerous or disabling	Immediate action/Intensive action required

Basic Design for Rating Strengths

Rating	Level of Strength	Appropriate Action
0	Centerpiece strength	Central to planning
1	Strength present	Useful in planning
2	Identified strength	Build or develop strength
3	No strength identified	Strength creation or identification may be indicated

Remember that the item anchor descriptions are examples of circumstances which fit each rating (0, 1, 2, or 3). The descriptions, however, are not inclusive. The rater must consider the basic meaning of each level to determine the appropriate rating on an item for an individual.

The ANSA is an information integration tool, intended to include multiple sources of information (e.g., individual and family, referral source, treatment providers, school, and observation of the rater). As a strength-based approach,

the ANSA supports the belief that individuals and families have unique talents, skills, and life events, in addition to specific unmet needs. Strength-based approaches to assessment and service or treatment planning focus on collaborating with individual and their families (if relevant) to discover individual and family functioning and strengths. Failure to demonstrate an individual's skill should first be viewed as an opportunity to learn the skill as opposed to the problem. Focusing on an individual's strengths instead of weaknesses with their families may result in enhanced motivation and improved performance. Involving the family (if relevant) and individual in the rating process and obtaining information (evidence) from multiple sources is necessary and improves the accuracy of the rating. Meaningful use of the ANSA and related information as tools (for reaching consensus, planning interventions, monitoring progress, psychoeducation, and supervision) supports effective services for individual and families.

As a quality improvement activity, a number of settings have utilized a fidelity model approach to look at service/treatment/action planning based on the ANSA assessment. A rating of '2' or '3' on an ANSA need suggests that this area must be addressed in the service or treatment plan. A rating of a '0' or '1' identifies a strength that can be used for strength-based planning and a '2' or '3' a strength that might be the focus of strength-building activities. It is important to remember that when developing service and treatment plans for healthy individual trajectories, balancing the plan to address risk behaviors/needs and protective factors/strengths is key. It has been demonstrated in the literature that strategies designed to develop individual capabilities are a promising means for development, and play a role in reducing risky behaviors.

Finally, the ANSA can be used to monitor outcomes. This can be accomplished in two ways. First, ANSA items that are initially rated a '2' or '3' are monitored over time to determine the percentage of individuals who move to a rating of '0' or '1' (resolved need, built strength). Dimension scores can also be generated by summing items within each of the domains (Symptoms, Risk Behaviors, Functioning, etc.). These scores can be compared over the course of treatment. ANSA dimension/domain scores have been shown to be valid outcome measures in residential treatment, intensive community treatment, community mental health, and justice programs.

The ANSA is an open domain tool that is free for anyone to use with training and certification. There is a community of people who use versions of the ANSA and share experiences, additional items, and supplementary tools.

HOW IS THE ANSA USED?

The ANSA is used in many ways to transform the lives of individuals and their families and to improve our programs. Hopefully, this guide will help you to also use the ANSA as a multi-purpose tool. What is the ANSA?

IT IS AN ASSESSMENT STRATEGY

When initially meeting clients and their caregivers, this guide can be helpful in ensuring that all the information required is gathered. Most items include "Questions to Consider" which may be useful when asking about needs and strengths. These are not questions that must be asked, but are available as suggestions. Many clinicians have found this useful to use during initial sessions either in person or over the phone (if there are follow up sessions required) to get a full picture of needs before treatment or service planning and beginning therapy or other services.

IT GUIDES CARE AND TREATMENT/SERVICE PLANNING

When an item on the ANSA is rated a '2' or '3' ('action needed' or 'immediate action needed') we are indicating not only that it is a serious need for our client, but one that we are going to attempt to work on during the course of our treatment. As such, when you write your treatment plan, you should do your best to address any needs, impacts on functioning, or risk factors that you rate as a 2 or higher in that document.

IT FACILITATES OUTCOMES MEASUREMENT

The ANSA is often completed every 6 months to measure change and transformation. We work with individuals and families and their needs tend to change over time. Needs may change in response to many factors including quality clinical support provided. One way we determine how our supports are helping to alleviate suffering and restore functioning is by re-assessing needs, adjusting treatment or service plans, and tracking change.

IT IS A COMMUNICATION TOOL

When a client leaves a treatment program, a closing ANSA may be completed to define progress, measure ongoing needs and help us make continuity of care decisions. Doing a closing ANSA, much like a discharge summary integrated with ANSA ratings, provides a picture of how much progress has been made, and allows for recommendations for future care which tie to current needs. And finally, it allows for a shared language to talk about our client and creates opportunities for collaboration. It is our hope that this guide will help you to make the most out of the ANSA and guide you in filling it out in an accurate way that helps you make good clinical decisions.

ANSA: A STRATEGY FOR CHANGE

The ANSA is an excellent strategy in addressing individuals' behavioral health care. As it is meant to be an outcome of an assessment, it can be used to organize and integrate the information gathered from clinical interviews, records reviews, and information from screening tools and other measures.

It is a good idea to know the ANSA and use the domains and items to help with your assessment process and information gathering sessions/clinical interviews with the individual and family (if relevant). This will not only help the organization of your interviews, but will make the interview more conversational if you are not reading from a form. A conversation is more likely to give you good information, so have a general idea of the items. The ANSA domains can be a good way to think about capturing information. You can start your assessment with any of the sections—Life Domain Functioning or Behavioral/Emotional Needs, Risk Behaviors or Individual Strengths, or Caregiver Resources & Needs—this is your judgment call. Sometimes, people need to talk about needs before they can acknowledge strengths. Sometimes, after talking about strengths, then they can better explain the needs. Trust your judgment, and when in doubt, always ask, “We can start by talking about what you feel that you need, or we can start by talking about the things that are going well and that you want to build on. Do you have a preference?”

Some people may “take off” on a topic. Being familiar with the ANSA items can help in having more natural conversations. So, if the family is talking about situations around the individual's anger control and then shift into something like---“you know, he only gets angry when he is working with Mr. S,” you can follow that and ask some questions about situational anger, and then explore other work-related issues.

MAKING THE BEST USE OF THE ANSA

Individuals often have families involved in their lives, and their family can be a great asset to their treatment. To increase family involvement and understanding, it is important to talk to them about the assessment process and describe the ANSA and how it will be used. The description of the ANSA should include teaching the individual and family about the needs and strengths rating scales, identifying the domains and items, as well as how the actionable items will be used in treatment or serving planning. When possible, share with the individual and family the ANSA domains and items (see the ANSA Core Item list on page 11) and encourage them to look over the items prior to your meeting with them. The best time is your decision—you will have a sense of the timing as you work with them. Individuals and families often feel respected as partners when they are prepared for a meeting or a process. A copy of the completed ANSA ratings should be reviewed with each individual and family. Encourage them to contact you if they wish to change their answers in any area that they feel needs more or less emphasis.

LISTENING USING THE ANSA

Listening is the most important skill that you bring to working with the ANSA. Everyone has an individual style of listening. The better you are at listening, the better the information you will receive. Some things to keep in mind that make you a better listener and that will give you the best information:

- ★ **Use nonverbal and minimal verbal prompts.** Head nodding, smiling and brief “yes,” “and”—things that encourage people to continue.
- ★ **Be nonjudgmental and avoid giving person advice.** You may find yourself thinking “if I were this person, I would do X” or “that’s just like my situation, and I did “X”. But since you are not that person, what you would do is not particularly relevant. Avoid making judgmental statements or telling them what you would do. It’s not really about you.

- ★ **Be empathic.** Empathy is being warm and supportive. It is the understanding of another person from their point of reference and acknowledging feelings. You demonstrate empathetic listening when you smile, nod, maintain eye contact. You also demonstrate empathetic listening when you follow the person’s lead and acknowledge when something may be difficult, or when something is great. You demonstrate empathy when you summarize information correctly. All of this demonstrates to the individual that you are with them.
- ★ **Be comfortable with silence.** Some people need a little time to get their thoughts together. Sometimes, they struggle with finding the right words. Maybe they are deciding how they want to respond to a question. If you are concerned that the silence means something else, you can always ask “Does that make sense to you”? Or “Do you need me to explain that in another way?”
- ★ **Paraphrase and clarify—avoid interpreting.** Interpretation is when you go beyond the information given and infer something—in a person’s unconscious motivations, personality, etc. The ANSA is not a tool to come up with causes. Instead, it identifies things that need to be acted upon. Rather than talk about causation, focus on paraphrasing and clarifying. Paraphrasing is restating a message very clearly in a different form, using different words. A paraphrase helps you to (1) find out if you really have understood an answer; (2) clarify what was said, sometimes making things clearer; and (3) demonstrate empathy. For example, you ask the questions about health, and the person you are talking to gives a long description. You paraphrase by saying “Ok, it sounds like . . . is that right? Would you say that is something that you feel needs to be watched, or is help needed?”

REDIRECT THE CONVERSATION TO ONE’S OWN FEELINGS AND OBSERVATIONS

Often, people will make comments about other people’s observations such as “Well, my mother thinks that his behavior is really obnoxious.” It is important to redirect people to talk about their observations: “So your mother feels that when he does X, that is obnoxious. What do YOU think?”

ACKNOWLEDGE FEELINGS

People will be talking about difficult things and it is important to acknowledge that. Simple acknowledgement such as “I hear you saying that it can be difficult when. . .” demonstrates empathy.

WRAPPING IT UP

At the end of the assessment, we recommend the use of two open-ended questions. These questions ask if there are any past experiences that people want to share that might be of benefit to planning for the individual, and if there is anything that they would like to add. This is a good time to see if there is anything “left over”—feelings or thoughts that they would like to share with you.

Take time to summarize with the individual and family those areas of strengths and of needs. Help them to get a “total picture” of the individual and family, and offer them the opportunity to change any ratings. Take a few minutes to talk about what the next steps will be. Now you have information organized into a framework that moves into the next stage—planning.

So you might close with a statement such as: “OK, now the next step is a “brainstorm” where we take this information that we’ve organized and start writing a plan—it is now much clearer which needs must be met and what we can build on. So let’s start. . .”

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SANTA CRUZ COUNTY ANSA STRUCTURE

The Santa Cruz County Adults Needs and Strengths Assessment items are noted below.

ITEMS

Strengths Domain

Family
Interpersonal/Social Connectedness
Optimism
Special Skills/Talents and Interests
Educational/Vocational
Cultural Identity
Volunteering
Job History
Spiritual/Religious
Community Life/Connectedness
Natural Supports
Resiliency-Persistence & Adapt.
Resourcefulness
Career Aspirations
Job Relations
Routine

Life Functioning Domain

Intellectual/Developmental (IQ)
Self-Care/Independent Daily Living
Medical/Physical*
Primary Care Physician Connected
Chronic Health Conditions
Medical/ER Hospital Visits
Family Functioning
Social Functioning
Sleep
Medication Involvement
Recreational
Legal
Sexuality/Sexual Development
Residential Stability
Decision Making
Involvement in Recovery
Transportation
Parental/Caregiving Role
Employment/Job Functioning

Cultural Factors Domain

Language
Traditions and Rituals
Cultural Stress

Caregiver Resources and Needs (Optional)

Supervision
Involvement with Care
Medical/Physical Health
Knowledge
Organization
Social Resources
Housing/Residential Stability
Safety
Family Stress
Mental Health
Substance Use
Developmental

Mental Health - Behavioral/Emotional Needs Domain

Psychosis
Cognition
Impulse Control
Anger Control
Eating Disturbance
Depression
Anxiety
Conduct/Antisocial Behavior
Mania
Interpersonal Problems
Adjustment to Trauma

Potentially Traumatic/Adverse Childhood Experiences

Neglect
Sexual Abuse
Parent/Caregiver Mental Illness

Behavioral/Emotional Needs

Potentially Traumatic/Adverse Childhood Experiences cont'd

Disrupt. in Caregiving/Attach. Losses
Emotional Abuse
Physical Abuse
Witness to Family Violence

Parent/Caregiver Substance Abuse
Medical Trauma
Witness Comm/School Violence
War/Terrorism Affected
Natural or Manmade Disaster
Witness/Victim -- Criminal Acts

Substance Use

Substance Use*
Severity of Use
Duration of Use
Stage of Recovery
Peer Influence
Environmental Influences
Recovery Support in Community

Risk Behaviors Domain

Self-Mutilation/Self-Injurious Behavior
Other Self Harm/Recklessness
Danger to Self
Suicide History
Danger to Others
History of Violence
Hostility
Paranoid Thinking
Sexual Aggression
Delinquency/Criminal Behavior
Victimization/Exploitation
Sexually Inappropriate Behavior
Other Addictive Behavior
Fire Setting

* A rating of '1,' '2,' or '3' on this item triggers the completion of the items in italics or modules that follow.*

STRENGTHS DOMAIN

This domain describes the assets of the individual that can be used to advance healthy development. It is important to remember that strengths are NOT the opposite of needs. Increasing an individual’s strengths while also addressing their behavioral/emotional needs leads to better functioning, and better outcomes, than does focusing just on the individual’s needs. Identifying areas where strengths can be built is a significant element of service planning. In these items the ‘best’ assets and resources available to the individual are rated based on how accessible and useful those strengths are. These are the only items that use the Strength Rating Scale with action levels.

Question to Consider for this Domain: What individual strengths can be used to support a need?

For **Strengths Domain**, the following categories and action levels are used:

- 0 Well-developed, centerpiece strength; may be used as the focus of an intervention/action plan.
- 1 Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.
- 2 Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.
- 3 An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.

FAMILY

This item refers to the presence of a sense of family identity as well as love and communication among family members.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none"> • Who does the individual consider as family? • Does the family support each other emotionally? 	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/action plan.</i> Family has strong relationships and significant family strengths. This level indicates a family with much love and respect for one another. There is at least one family member who has a strong loving relationship with the individual and is able to provide significant emotional or concrete support. Individual is fully included in family activities.</p> <hr/> <p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Family has some good relationships and good communication. Family members are able to enjoy each other’s company. There is at least one family member who has a strong, loving relationship with the individual and is able to provide limited emotional or concrete support.</p> <hr/> <p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Family needs some assistance in developing relationships and/or communications. Family members are known, but currently none are able to provide emotional or concrete support.</p> <hr/> <p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> Family needs significant assistance in developing relationships and communications, or individual has no identified family. Individual is not included in normal family activities. [continues]</p>

FAMILY continued

Supplemental Information: Even families who are struggling often have a firm foundation that consists of a positive sense of family and strong underlying love and commitment to each other. These are the constructs this strength is intended to identify. As with Family Functioning, the definition of family comes from the individual's perspective (i.e., who the individual describes as their family). If you do not know this information, then we recommend a definition of family that includes biological/adoptive relatives and their significant others with whom the individual is still in contact.

INTERPERSONAL/SOCIAL CONNECTEDNESS

This item is used to identify an individual's social and relationship skills. Interpersonal skills are rated independently of Social Functioning because an individual can have social skills but still struggle in their relationships at a particular point in time.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual have friends?• Are the individual's friendships healthy?• Is the individual able to develop friendships?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/action plan.</i> Significant interpersonal strengths. Individual has well-developed interpersonal skills and healthy friendships.</p>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual has good interpersonal skills and has shown the ability to develop healthy friendships.</p>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual requires strength building to learn to develop good interpersonal skills and/or healthy friendships. Individual has some social skills that facilitate positive relationships with peers and adults but may not have any current healthy friendships.</p>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> There is no evidence of observable interpersonal skills or healthy friendships at this time and/or individual requires significant help to learn to develop interpersonal skills and healthy friendships.</p>

Supplemental Information: This strength indicates an ability to make and maintain long-standing relationships.

OPTIMISM

This item refers to the individual's orientation toward the future.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual have a generally optimistic outlook?• Is the individual optimistic at one moment and then pessimistic the next?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> Individual has a strong and stable optimistic outlook for their future.</p>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual is generally optimistic about their future.</p>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual has difficulty maintaining a positive view of themselves and their life. Individual's outlook may vary from overly optimistic to overly pessimistic.</p>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> There is no evidence of optimism at this time and/or individual has difficulties seeing positive aspects about themselves or their future.</p>

SPECIAL SKILLS/TALENTS AND INTERESTS

This item refers to hobbies, skills, artistic interests and talents that are positive ways that individuals can spend their time, and also give them pleasure and a positive sense of self.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual have any talents or interests?• Is the individual able to use their talents or interests in a positive way?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> Individual has a talent that provides pleasure and/or self-esteem. Individual with significant creative/artistic/athletic strengths would be rated here.</p>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual has a talent, interest, or hobby that has the potential to provide pleasure and self-esteem. This level indicates an individual with a notable talent. For example, an individual who is involved in athletics or plays a musical instrument would be rated here.</p>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual has expressed interest in developing a specific talent, interest or hobby even if that talent has not been developed to date, or whether it would provide the individual with any benefit.</p>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> There is no evidence of identified talents, interests or hobbies at this time and/or individual requires significant assistance to identify and develop talents and interests.</p>

EDUCATIONAL/VOCATIONAL

This item is used to evaluate the nature of the school/vocational training program's relationship with the individual and family, as well as the level of support the individual receives from the school or vocational training program. Rate according to how much the school or vocational training program is an effective partner in promoting the individual's functioning and addressing the individual's needs in school.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• Is the training program or educational setting an active partner in the individual's education?• Is the individual's training program or educational setting an active partner in figuring out how to best meet the individual's needs?• Does individual like the training program or educational setting?• When has the individual been at their best in the training program or educational setting?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i></p> <p>The school or training program works closely with the individual and family to identify and successfully address the individual's educational needs; OR the individual excels in school or training program.</p> <hr/>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i></p> <p>School or training program works with the individual and family to address the individual's educational needs; OR the individual likes school/training program.</p> <hr/>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i></p> <p>The school or training program is currently unable to adequately address the individual's needs. This level indicates an individual who is in school/training program but has a plan that does not appear to be effective.</p> <hr/>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i></p> <p>The school or training program is unable and/or unwilling to work to identify and address individual's needs. This level indicates an individual who is either not in school or a training program or is in a setting that does not further their education. Or the individual has dropped out of school or training program. Completing school or vocational program is required to meet the individual's career aspirations.</p>

Supplemental Information: This item refers to the strengths of the educational or vocational setting that could include: vocational training program, school system, GED program, or college and may or may not reflect any specific educational skills possessed by the individual. A rating of '0' would be given if the vocational training program or school is an active participant with the individual. A rating of '2' would be given if the vocational training program or educational setting is not able to address the individual's needs despite a plan, etc.

CULTURAL IDENTITY

Cultural identity refers to the individual's view of self as belonging to a specific cultural group. This cultural group may be defined by a number of factors including race, religion, ethnicity, geography, sexual orientation or gender identity and expression (SOGIE).

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• What culture does the individual identify with?• Is the individual's culture a source of stress?	0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> The individual has defined a cultural identity and is connected to others who support the individual's cultural identity.
	1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> The individual is developing a cultural identity and is seeking others to support the individual's cultural identity.
	2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> The individual is searching for a cultural identity and has not connected with others.
	3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> The individual does not express a cultural identity.

VOLUNTEERING

This item describes the degree to which an individual is involved in volunteer activities that give back to the community.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• Does the individual have any history of or current experience volunteering?• Does the individual seek out experiences that give back to the community?	0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> Individual understands the importance of giving back to others and actively seeks out and engages in volunteer activities on a regular basis (e.g., at least once a month).
	1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual understands the importance of giving back to others, but does not actively seek out volunteer activities. The individual may engage in volunteer activities sporadically (e.g., once or twice per year).
	2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual is starting to understand the importance of giving back to others. The individual has never engaged in any volunteer activities.
	3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> There is no evidence of individual's involvement in volunteer activities or individual is not interested in pursuing volunteer activities at this time.

JOB HISTORY

This item describes the individual's experience with employment.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• Does the individual have any job history?• Is the job history positive?• Is the job history relevant?	0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> Individual has significant job history with positive outcomes. Individual is currently employed as a valued employee.
	1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual has held jobs for a reasonable period of time and has former employers willing to recommend them for future employment.
	2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual has some work history; however, it is marked by periodic job loss.
	3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> Individual has no positive work history.

SPIRITUAL/RELIGIOUS

This item refers to the individual's experience of receiving comfort and support from religious or spiritual involvement. This item rates the presence of beliefs that could be useful to the individual; however an absence of spiritual/religious beliefs does not represent a need for the family.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• Does the individual have any spiritual/religious beliefs?• Does the individual use their beliefs in a positive manner?	0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i> Individual is involved in and receives comfort and support from spiritual and/or religious beliefs, practices and/or community. Individual may be very involved in a religious community or may have strongly held spiritual or religious beliefs that can sustain or comfort the individual in difficult times.
	1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual is involved in and receives some comfort and/or support from spiritual and/or religious beliefs, practices and/or community.
	2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual has expressed some interest in spiritual or religious belief and practices.
	3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> There is no evidence of identified spiritual or religious beliefs, nor does the individual show any interest in these pursuits at this time.

COMMUNITY LIFE/CONNECTEDNESS

This item reflects the individual's connection to people, places or institutions in their community.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• Is the individual connected to their community?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i></p> <p>Individual is well integrated into their community. The individual is a member of community organizations and has positive ties to the community. For example, individual may be a member of a community group (e.g. Girl or Boy Scout leader) for more than one year, may be widely accepted by neighbors, or involved in other community activities, informal networks, etc.</p>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i></p> <p>Individual is somewhat involved with their community. This level can also indicate an individual with significant community ties although they may be relatively short term.</p>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i></p> <p>Individual has an identified community but has only limited, or unhealthy, ties to that community.</p>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i></p> <p>There is no evidence of an identified community of which individual is a member at this time.</p>

NATURAL SUPPORTS

This item refers to unpaid helpers in the individual's natural environment. All family members and paid caregivers are excluded.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• Does the individual have anyone in their life that supports them (other than family and paid supports)?	<p>0 <i>Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.</i></p> <p>Individual has significant natural supports that contribute to helping support the individual's healthy development.</p>
	<p>1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i></p> <p>Individual has identified natural supports that provide some assistance in supporting the individual's healthy development.</p>
	<p>2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i></p> <p>Individual has some identified natural supports, however those supports are not actively contributing to the individual's healthy development.</p>
	<p>3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i></p> <p>Individual has no known natural supports (outside of family and paid caregivers).</p>

RESILIENCY-PERSISTENCE & ADAPTABILITY

This item refers to the individual's ability to recognize their internal strengths and use them in managing daily life.

Questions to Consider

- Is the individual able to recognize their skills as strengths?
- Is the individual able to use their strengths to problem solve and address difficulties or challenges?

Ratings and Descriptions

- 0 *Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.*
Individual is able to both identify and use strengths to better themselves and successfully manage difficult challenges.
-
- 1 *Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.*
Individual is able to identify most of their strengths and is able to partially utilize them.
-
- 2 *Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.*
Individual is able to identify strengths but is not able to utilize them effectively.
-
- 3 *An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.*
Individual is not yet able to identify personal strengths.

RESOURCEFULNESS

This item refers to the individual's ability to identify and use external/environmental strengths in managing daily life.

Questions to Consider

- Does the individual have external or environmental strengths?
- Does the individual use their external or environmental strengths to aid in their well-being?

Ratings and Descriptions

- 0 *Well-developed, centerpiece strength; may be used as the focus of an intervention/ action plan.*
Individual is quite skilled at finding the necessary resources required to aid them in managing challenges.
-
- 1 *Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.*
Individual has some skills at finding necessary resources required to aid them in a healthy lifestyle but sometimes requires assistance at identifying or accessing these resources.
-
- 2 *Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.*
Individual has limited skills at finding necessary resources required to aid in achieving a healthy lifestyle and requires temporary assistance both with identifying and accessing these resources.
-
- 3 *An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.*
Individual has no skills at finding the necessary resources to aid in achieving a healthy lifestyle and requires ongoing assistance with both identifying and accessing these resources.

CAREER ASPIRATIONS

This item describes the degree to which an individual has ideas about what type of job they would want, or a clear idea of a career direction.

Questions to Consider

- Does the individual have goals for their job or career development?
- Is the individual able to identify a job or career path, and do they have resources needed to get there?

Ratings and Descriptions

- 0 *Well-developed, centerpiece strength; may be used as a centerpiece in an intervention/ action plan.*
Individual has clear and feasible career plans.
-
- 1 *Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.*
Individual has career plans but significant barriers may exist to achieving these plans.
-
- 2 *Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.*
Individual wants to work but does not have a clear idea regarding jobs or careers.
-
- 3 *An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.*
Individual has no career plans or aspirations.

JOB RELATIONS

This item describes the individual's history of relationships in work environments.

Questions to Consider

- Are individual's relationships at the job setting a source of distress or source of strength for them?

Ratings and Descriptions

- 0 *Well-developed, centerpiece strength; may be used as a centerpiece in an intervention/ action plan.*
Individual gets along well with superiors and co-workers.
-
- 1 *Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.*
Individual is experiencing some problems with relationships at work.
-
- 2 *Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.*
Individual is having problems with their relationships with superiors and/or co-workers. Difficulties are causing functioning problems at work.
-
- 3 *An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.*
Individual is having severe relationship problems with superiors and/or co-workers. Relationship issues threaten employment or have resulted in recent firing.

ROUTINE

This item is used to describe the degree to which the individual's lifestyle has established routines that would be supportive of ongoing employment.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual have a daily routine?• If so, could this routine accommodate a work schedule?	0 <i>Well-developed, centerpiece strength; may be used as a centerpiece in an intervention/ action plan.</i> Individual has and maintains a daily routine in which work would easily fit.
	1 <i>Identified and useful strength. Strength will be used, maintained or built upon as part of the plan. May require some effort to develop strength into a centerpiece strength.</i> Individual has and maintains a daily routine that, with adjustments, could include regular employment.
	2 <i>Strengths have been identified but require strength-building efforts before they can be effectively utilized as part of a plan. Identified but not useful.</i> Individual has and maintains a routine, but employment would require a major adjustment.
	3 <i>An area in which no current strength is identified; efforts may be recommended to develop a strength in this area.</i> Individual has no routine to their daily life.

LIFE FUNCTIONING DOMAIN

This section focuses on the different arenas of social interaction found in the lives of individuals and their families. This domain rates how they are functioning in the individual, family, peer, school, work, and community realms. This section is rated using the needs scale and therefore will highlight any struggles the individual and family are experiencing.

Question to Consider for this Domain: How is the individual functioning in individual, family, peer, school, and community realms?

For the **Life Functioning Domain**, use the following categories and action levels:

- 0 No evidence of any needs; no need for action.
- 1 Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.
- 2 Action is required to ensure that the identified need is addressed; need is interfering with functioning.
- 3 Need is dangerous or disabling; requires immediate and/or intensive action.

INTELLECTUAL/DEVELOPMENTAL (IQ)

This item describes the individual's development as compared to standard developmental milestones, as well as rates the presence of any developmental or intellectual disabilities.

Questions to Consider

- Does the individual have any developmental or intellectual challenges?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence of developmental delay and/or individual has no developmental problems or intellectual disability.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
There are concerns about possible developmental delay. Individual may have low IQ, a documented delay, or documented borderline intellectual disability (i.e. FSIQ 70-85). Mild deficits in adaptive functioning are indicated.
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Individual has mild developmental delays (e.g., deficits in social functioning, inflexibility of behavior causing functional problems in one or more settings) and/or mild to moderate Intellectual Disability/Intellectual Disability Disorder. (If available, FSIQ 55-69.) IDD impacts communication, social functioning, daily living skills, judgment, and/or risk of manipulation by others.
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Individual has severe to profound intellectual disability (FSIQ, if available, less than 55) and/or Autism Spectrum Disorder with marked to profound deficits in adaptive functioning in one or more areas: communication, social participation and independent living across multiple environments.

Supplemental Information: This item includes Intellectual Developmental Disorder (IDD) and Autism Spectrum Disorders. Rate the item depending on the significance of the disability and the related level of impairment in personal, social, family, school, or occupational functioning.

SELF-CARE/INDEPENDENT DAILY LIVING SKILLS

This item aims to describe the individual's ability and motivation to engage in developmentally appropriate self-care tasks such as eating, bathing, dressing, toileting, and other such tasks related to keeping up with one's personal hygiene. It also includes independent living abilities.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Is the individual able to care for themselves?• Does the individual groom on a regular basis?• Does the individual bathe appropriately?	<p>0 <i>No evidence of any needs; no need for action.</i> Individual's self-care and daily living skills appear developmentally-appropriate. There is no reason to believe that the individual has any problems performing the basic activities of daily living.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual requires verbal prompting on self-care tasks or daily living skills, or individual is able to use adaptations and supports to complete self-care.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual requires assistance (physical prompting) on self-care tasks or attendant care on one self-care task (e.g., eating bathing, dressing, toileting).</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual requires attendant care on more than one of the self-care tasks (e.g., eating, bathing, dressing, and toileting).</p>

MEDICAL/PHYSICAL*

This item includes both health problems and chronic/acute physical conditions.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Does the individual have any physical or medical conditions?• Is the individual able to manage their physical or medical conditions?	<p>0 <i>No evidence of any needs; no need for action.</i> No evidence that the individual has any medical or physical problems, and/or the individual is healthy.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> The individual has mild, transient or well-managed physical or medical problems. These include well-managed chronic conditions like hypertension or asthma.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> The individual has medical or physical problems that require treatment or intervention. Or individual has chronic illness or physical challenge that requires ongoing medical intervention.</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> The individual has a life threatening illness or medical/physical condition. Immediate or intensive action should be taken due to imminent danger to individual's safety or health.</p>

*A rating of '1', '2' or '3' on this item triggers the completion of the [A] Health Module.

[A] HEALTH MODULE

This module is to be completed when the Medical/Physical item is rated '1,' '2' or '3'.

PRIMARY CARE PHYSICIAN (PCP) CONNECTED

This item focuses on whether the individual is connected to a primary care physician.

Questions to Consider

- Is the individual connected to a Primary Care Physician?
- When was the last time the individual saw their PCP?

Ratings and Descriptions

- | | |
|---|--|
| 0 | Individual has a PCP and has been seen by the provider in the past 180 days. |
| 1 | Individual has a PCP but has not been seen by the provider in over 180 days. |
| 2 | Individual has a PCP but does not know the doctor's name nor when they were last seen. |
| 3 | Individual does not have a PCP. |

CHRONIC HEALTH CONDITIONS

This item refers to a condition that is persistent or long-lasting in its effects or a disease that develops gradually over time and is expected to last a long time even with treatment (e.g., high blood pressure, diabetes, heart condition, metabolic syndrome). Chronic conditions are in contrast to acute conditions which have a sudden onset.

Questions to Consider

- Does the individual have a persistent or long-lasting medical condition?

Ratings and Descriptions

- | | |
|---|--|
| 0 | Individual has no chronic health conditions. |
| 1 | Individual has chronic health condition(s) but reports being able to manage effectively. |
| 2 | Individual has chronic health condition(s) but reports difficulty managing alone. |
| 3 | Individual has chronic health condition(s) that is not being managed. |

MEDICAL HOSPITALIZATION OR EMERGENCY ROOM VISIT

This item focuses on the individual's medical hospitalizations or emergency room (ER) visits. This **does not** include psychiatric hospitalizations.

Questions to Consider

- Has the individual had a medical hospitalization or ER visit? When did this occur?

Ratings and Descriptions

- | | |
|---|--|
| 0 | Individual reports no hospitalizations or ER visits in the past year. |
| 1 | Individual reports one hospitalization or ER visit in the past year. |
| 2 | Individual reports more than one hospitalization or ER visit in the past year. |
| 3 | Individual reports a hospitalization or ER visit in the past 30 days. |

End of Health Module

FAMILY FUNCTIONING

This item evaluates and rates the individual's relationships with those who are in their family. It is recommended that the description of family should come from the individual's perspective (i.e., who the individual describes as their family). In the absence of this information, consider biological and adoptive relatives and their significant others with whom the individual is still in contact.

	Ratings and Descriptions
	0 <i>No evidence of any needs; no need for action.</i> No evidence of problems in relationships with family members, and/or individual is doing well in relationships with family members.
Questions to Consider	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or suspicion of problems. Individual might be doing adequately in relationships with family members, although some problems may exist. For example, some family members may have problems in their relationships with individual. Arguing may be common but does not result in major problems.
<ul style="list-style-type: none">• Who does the individual consider as family?• Does the individual get along well with their family?• Are there any problems between any of the family members?	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual is having problems with parents, siblings and/or other family members that are impacting the individual's functioning. Frequent arguing, difficulty maintaining positive relationships may be observed.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual is having severe problems with parents, siblings, and/or other family members. This would include problems of domestic violence, absence of any positive relationships, etc.

Supplemental Information: When rating this item, take into account the relationship the individual has with their family as well as the relationship of the family as a whole.

SOCIAL FUNCTIONING

This item rates social skills and relationships. It includes age appropriate behavior and the ability to make and sustain relationships.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual have any friends?• Does the individual get along well with their friends?• Are the individual's friendships healthy?	0 <i>No evidence of any needs; no need for action.</i> No evidence of problems and/or individual has developmentally appropriate social functioning.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> There is a history or suspicion of problems in social relationships. Individual is having some difficulty interacting with others and building and/or maintaining relationships.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual is having some problems with social relationships that interfere with functioning in other life domains.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual is experiencing significant disruptions in social relationships. Individual may have no friends or have constant conflict in relations with others, or have maladaptive relationships with others. The quality of the individual's social relationships presents imminent danger to the individual's safety, health, and/or development.

Supplemental Information: Social functioning is different from Interpersonal (Strengths) in that functioning is a description of how the individual is doing currently. Strengths are longer-term assets.

SLEEP

This item is used to describe any problems with sleep, regardless of the cause, including difficulties falling asleep or staying asleep or sleeping too much.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual get a full night's sleep?• Does the individual get enough sleep to function properly the next day?• Does the individual have any problems when they sleep such as awakening early, or nightmares, etc.?	0 <i>No evidence of any needs; no need for action.</i> There is no evidence of problems with sleep. Individual gets a full night's sleep each night.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has some problems sleeping. Generally, individual gets a full night's sleep but at least once a week problems arise. This may include occasionally having difficulties falling asleep or awakening early or in the middle of the night.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual is having problems with sleep. Sleep is often disrupted and individual seldom obtains a full night of sleep.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual is generally sleep deprived. Sleeping is almost always difficult and the individual is not able to get a full night's sleep.

MEDICATION INVOLVEMENT

This item focuses on the level of the individual's willingness and participation in taking prescribed medications.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">Is the individual prescribed any medications?Does the individual take the medications as prescribed?	<p>0 <i>No evidence of any needs; no need for action.</i> This level indicates an individual who takes psychotropic medications as prescribed and without reminders, or an individual who is not currently on any psychotropic medication.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> This level indicates an individual who will take psychotropic medications routinely, but who sometimes needs reminders to maintain compliance. Also, a history of medication noncompliance but no current problems would be rated here.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> This level indicates an individual who is somewhat non-compliant. Individual may be resistant to taking psychotropic medications or may tend to overuse their medications. They might comply with prescription plans for periods of time (1-2 weeks) but generally do not sustain taking medication in prescribed dose or protocol.</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> This level indicates an individual who has refused to take prescribed psychotropic medications during the past 30-day period or who has abused their medications to a significant degree (i.e., overdosing or over-using medications to a dangerous degree).</p>

RECREATIONAL

This item is intended to reflect the individual's access to and use of leisure time activities.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">What does the individual do in their leisure time?Does the individual engage in healthy activities during their leisure time?	<p>0 <i>No evidence of any needs; no need for action.</i> Individual has and enjoys positive recreation activities on an ongoing basis.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual is doing adequately with recreational activities although some problems may exist.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual is having moderate problems with recreational activities. Individual may experience some problems with effective use of leisure time.</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual has no access to or interest in recreational activities. Individual has significant difficulties making positive use of leisure time.</p>

LEGAL

This item rates only the individual's involvement with the legal system.

	Ratings and Descriptions
Questions to Consider	0 <i>No evidence of any needs; no need for action.</i> Individual has no known legal difficulties.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has a history of legal problems but currently is not involved with the legal system.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual has some legal problems and is currently involved in the legal system.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual has serious current or pending legal difficulties that place them at risk for incarceration.

SEXUALITY/SEXUAL DEVELOPMENT

This item looks at broad issues of sexual development including sexual behavior or sexual concerns, and the reactions of others to any of these factors.

	Ratings and Descriptions
Questions to Consider	0 <i>No evidence of any needs; no need for action.</i> No evidence of issues with sexual development.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or suspicion of problems with sexual development, but does not interfere with functioning in other life domains. May include the individual's concerns about sexual orientation or gender identity and expression (SOGIE), or anxiety about the reaction of others.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Problems with sexual development that interfere with the individual's life functioning in other life domains.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Problems with sexual development that are dangerous or disabling. This would include very frequent risky sexual behavior, sexual aggression, or victim of sexual exploitation.

Supplemental Information: Sexually abusive behaviors are rated elsewhere. Sexual orientation or gender identity issues could be rated here if they are leading to difficulties.

RESIDENTIAL STABILITY

This item is used to rate the individual's current and likely future housing circumstances. If the individual lives independently, their history of residential stability can be rated.

Questions to Consider

- Does the individual have a stable living situation?
- Where is the individual living?

Ratings and Descriptions

0 *No evidence of any needs; no need for action.*

There is no evidence of residential instability. The individual has stable housing for the foreseeable future.

1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*

The individual has relatively stable housing but has either moved in the past three months or there are indications that housing problems could arise at some point within the next three months. Also, potential residential instability if living independently due to the individual's difficulty with self-care, disruptive behavior, financial situation, or other psychosocial stressor. A recent move for any reason that the individual found stressful would be rated here.

2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*

The individual has moved multiple times in the past year. Also, current residential instability if the individual is living independently, characterized by recent and temporary lack of permanent housing.

3 *Need is dangerous or disabling; requires immediate and/or intensive action.*

The individual has experienced periods of homelessness in the past six months. Also, acute residential instability if living independently, characterized by homelessness for at least 30 days as defined by living on the streets, in shelters, or other transitional housing.

DECISION MAKING

This item describes the individual's ability to make decisions and understanding of choices and consequences. This rating should reflect the degree to which an individual can concentrate on an issue, think through decisions, anticipate consequences of decisions, and follow through on decisions.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> No evidence of problems with judgment or poor decision-making that result in harm to development and/or well-being.
	1	<i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> There is some history or suspicion of problems with judgment in which the individual makes decisions that are in some way harmful to their development and/or well-being.
	2	<i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Problems with judgment in which the individual makes decisions that are in some way harmful to their development and/or well-being. As a result, more supervision is required.
• Does the individual make decisions that are harmful to their well-being?	3	<i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Problems with judgement that place the individual at risk of significant physical harm. Individual is currently unable to make decisions. Therefore, individual requires intense and constant supervision.

INVOLVEMENT IN RECOVERY

This item focuses on the level of the individual's active participation in treatment and self-management of behavioral health needs.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> Individual is fully involved in their recovery. They have identified treatment choices and fully participate.
	1	<i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual is generally involved in their recovery. They participate in treatment but do not actively exercise choice.
	2	<i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual is marginally involved in their recovery. They are minimally involved in treatment.
• Does the individual participate in their treatment?	3	<i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual is uninvolved in their recovery. They are currently not making efforts to address needs.

TRANSPORTATION

This item is used to rate the level of transportation required to ensure that the individual could effectively participate in their own treatment.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">Does the individual have any transportation needs?How often?Does the individual need a special vehicle?	0 <i>No evidence of any needs; no need for action.</i> The individual has no transportation needs.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> The individual has occasional transportation needs (e.g., appointments). These needs would be no more than weekly and not require a special vehicle.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> The individual has occasional transportation needs that require a special vehicle or frequent transportation needs (e.g., daily to work or therapy) that do not require a special vehicle.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> The individual requires frequent (e.g., daily to work or therapy) transportation in a special vehicle.

PARENTAL/CAREGIVING ROLE

This item focuses on an individual in any parental/caregiving role.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">Does the individual have younger siblings, minor children, or dependent adults to whom they are providing significant caregiving responsibilities?Is individual able to effectively meet caregiving responsibilities or are they struggling or feeling overwhelmed and requiring significant external supports at this time?	0 <i>No evidence of any needs; no need for action.</i> Individual has a parenting or caregiving role, and they are functioning appropriately in that role. An individual that does not have a parental or caregiving role would be rated here.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has responsibilities as a parent/caregiver and occasionally experiences difficulties with this role.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual has responsibilities as a parent/caregiver, and they currently struggle to meet these responsibilities; these responsibilities are currently interfering with the individual's functioning in other life domains.
3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual has responsibilities as a parent/caregiver and is currently unable to meet these responsibilities, or these responsibilities are making it impossible for the individual to function in other life domains. The individual has the potential of abuse or neglect in their parenting/caregiving role.	

EMPLOYMENT/JOB FUNCTIONING

This item rates the performance of the individual in work settings. In addition to traditional employment, this can include supported employment, sheltered workshops, long term volunteer experiences and internships. This performance can include issues of behavior, attendance or productivity.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• Is the individual employed?• Does the individual have any behavioral, attendance, or productivity problems at work?• Does the individual get to work on time?• Is the individual looking for a job or training?	<p>0 <i>No evidence of any needs; no need for action.</i> No evidence of problems related to work are identified. Please note: Individuals who are homemakers, students or retired are rated here.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual experiences some problems with work functioning. Individual may have some problems in work environment involving attendance, productivity, or relations with others. OR the individual is not currently working, but is motivated and is actively seeking work.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual experiences work problems including disruptive behavior and/or difficulties with performing required work is indicated. Supervisors likely have warned individual about problems with their work performance. OR although not working, the individual seems interested in doing so, but may have some anxiety about working.</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual's work problems are dangerous or disabling, including aggressive behavior toward peers or superiors or severe attendance problems are evidenced. Individual may be recently fired or at very high risk of firing (e.g. on notice). OR the individual has a long history of unemployment.</p>

CULTURAL FACTORS DOMAIN

These items identify linguistic or cultural issues for which service providers need to make accommodations (e.g., provide interpreter, finding therapist who speaks individual/family’s primary language, and/or ensure that an individual in placement has the opportunity to participate in cultural rituals associated with their cultural identity). Items in the Cultural Factors Domain describe difficulties that individuals may experience or encounter as a result of their membership in any cultural group, and/or because of the relationship between members of that group and members of the dominant society.

It is important to remember when using the ANSA that the family should be defined from the individual’s perspective (i.e., who the individual describes as part of their family). The cultural issues in this domain should be considered in relation to the impact they are having on the life of the individual when rating these items and creating a treatment or service plan.

Question to Consider for this Domain: How does the individual’s membership in a particular cultural group impact their stress and wellbeing?

For the **Cultural Factors Domain**, use the following categories and action levels:

- 0 No evidence of any needs; no need for action.
- 1 Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.
- 2 Action is required to ensure that the identified need is addressed; need is interfering with functioning.
- 3 Need is dangerous or disabling; requires immediate and/or intensive action.

LANGUAGE

This item looks at whether the individual and family (if relevant) need help with communication to obtain the necessary resources, supports and accommodations (e.g., translator). This item includes spoken, written, and sign language, as well as issues of literacy.

Questions to Consider

- What language does the individual speak?
- Is the individual able to speak/communicate with the primary language in their area?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence that there is a need or preference for an interpreter and/or the individual and family speak and read the primary language where the individual or family lives.

- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
Individual and/or family speak or read the primary language where the individual or family lives, but potential communication problems exist because of limited vocabulary or comprehension of the nuances of the language.

- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Individual and/or significant family members do not speak the primary language where the individual or family lives. Translator or family’s native language speaker is needed for successful intervention; a qualified individual(s) can be identified within natural supports. [continues]

LANGUAGE continued

- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Individual and/or significant family members do not speak the primary language where the individual or family lives. Translator or family's native language speaker is needed for successful intervention; no such individual is available from among natural supports.

TRADITIONS AND RITUALS

This item rates the individual and family's (if relevant) access to and participation in cultural tradition, rituals and practices, including the celebration of culturally specific holidays such as Kwanza, Día de los Muertos, Yom Kippur, Quinceanera, etc. This also may include daily activities that are culturally specific (e.g., wearing a hijab, praying toward Mecca at specific times, eating a specific diet, access to media), and traditions and activities to include newer cultural identities.

Ratings and Descriptions

Questions to Consider

- Does the individual participate in any traditions or rituals?

- 0 *No evidence of any needs; no need for action.*
Individual and/or family are consistently able to practice their chosen traditions and rituals consistent with their cultural identity.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
Individual and/or family are generally able to practice their chosen traditions and rituals consistent with their cultural identity; however, they sometimes experience some obstacles to the performance of these practices.
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Individual and/or family experience significant barriers and are sometimes prevented from practicing their chosen traditions and rituals consistent with their cultural identity.
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Individual and/or family are unable to practice their chosen traditions and rituals consistent with their cultural identity.

CULTURAL STRESS

This item identifies circumstances in which the individual and family's (if relevant) cultural identity is met with hostility or other problems within their environment due to differences in attitudes, behavior, or beliefs of others (this includes cultural differences that are causing stress between the individual and their family). Racism, negativity toward sexual orientation or gender identity and expression (SOGIE) and other forms of discrimination would be rated here.

Questions to Consider

- Does the individual experience any cultural stress?

Ratings and Descriptions

- | | |
|---|---|
| 0 | <i>No evidence of any needs; no need for action.</i>
No evidence of stress between the individual's cultural identity and current environment or living situation. |
| 1 | <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i>
Some mild or occasional stress resulting from friction between the individual's cultural identity and current environment or living situation. |
| 2 | <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i>
Individual is experiencing cultural stress that is causing problems of functioning in at least one life domain. Individual needs support to learn how to manage culture stress. |
| 3 | <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i>
Individual is experiencing a high level of cultural stress that is making functioning in any life domain difficult under the present circumstances. Individual needs immediate plan to reduce culture stress. |

CAREGIVER RESOURCES & NEEDS DOMAIN (OPTIONAL)

Caregiver refers to parent(s) or other adults with primary care-taking responsibilities for the individual. This dimension would not be applicable to an individual living in an institutionalized setting, but would apply to someone living in group homes.

The items in this section represent caregivers' potential areas of need while simultaneously highlighting the areas in which the caregivers can be a resource for the individual.

Questions to Consider for this Domain: What are the resources and needs of the individual's caregiver(s)?

For the **Caregiver Resources & Needs Domain**, use the following categories and action levels:

- 0 No current need; no need for action. This may be a resource for the individual.
- 1 Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.
- 2 Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.
- 3 Need prevents the provision of care; requires immediate and/or intensive action.

SUPERVISION

This item rates the caregiver's capacity to provide the level of monitoring needed by the individual.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none"> • How does the caregiver feel about their ability to keep an eye on the individual? • Does the caregiver need some help with these issues? 	<p>0 <i>No current need; no need for action. This may be a resource for the individual.</i> No evidence caregiver needs help or assistance in monitoring the individual, and/or caregiver has good monitoring skills.</p> <hr/> <p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.</i> Caregiver generally provides adequate supervision, but is inconsistent. Caregiver may need occasional help or assistance.</p> <hr/> <p>2 <i>Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.</i> Caregiver supervision and monitoring are very inconsistent and frequently absent. Caregiver needs assistance to improve supervision skills.</p> <hr/> <p>3 <i>Need prevents the provision of care; requires immediate and/or intensive action.</i> Caregiver is unable to monitor the individual. Caregiver requires immediate and continuing assistance. Individual is at risk of harm due to absence of supervision or monitoring.</p>

INVOLVEMENT WITH CARE

This item is used to rate the level of involvement the caregiver(s) has in the planning and provision of mental health related services.

<p>Questions to Consider</p> <ul style="list-style-type: none">• Is the caregiver involved with the individual's care?• Is the caregiver participating in the individual's treatment?	<p>Ratings and Descriptions</p>
	<p>0 <i>No current need; no need for action. This may be a resource for the individual.</i> No evidence of problems with caregiver involvement in services or interventions, and/or caregiver is able to act as an effective advocate for the individual.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventative action based on history, suspicion or disagreement. This may be an opportunity for resource building.</i> Caregiver is consistently involved in the planning and/or implementation of services for the individual but is not an active advocate on behalf of the individual. Caregiver is open to receiving support, education, and information.</p>
	<p>2 <i>Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.</i> Caregiver is not actively involved in the individual's services and/or interventions intended to assist the individual.</p>
	<p>3 <i>Need prevents the provision of care; requires immediate and/or intensive action.</i> Caregiver wishes for individual to be removed from their care.</p>

MEDICAL/PHYSICAL HEALTH

This item refers to medial problems and/or physical limitations that the caregiver(s) may be experiencing that prevent or limit their ability to provide care for the individual. This item does not rate depression or other mental health issues.

<p>Questions to Consider</p> <ul style="list-style-type: none">• Do the caregiver's physical health issues interfere with their caregiving abilities?	<p>Ratings and Descriptions</p>
	<p>0 <i>No current need; no need for action. This may be a resource for the individual.</i> No evidence of medical or physical health problems. Caregiver is generally healthy.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventative action based on history, suspicion or disagreement. This may be an opportunity for resource building.</i> There is a history or suspicion of, and/or caregiver is in recovery from medical/physical problems.</p>
	<p>2 <i>Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.</i> Caregiver has medical/physical problems that interfere with the capacity to provide care for the individual.</p>
	<p>3 <i>Need prevents the provision of care; requires immediate and/or intensive action.</i> Caregiver has medical/physical problems that make providing care for the individual impossible at this time.</p>

KNOWLEDGE

This item identifies the caregiver's knowledge of the individual's strengths and needs, and the caregiver's ability to understand the rationale for the treatment or management of these problems.

Questions to Consider

- Is the caregiver knowledgeable about the individual's needs?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
No evidence of caregiver knowledge issues. Caregiver is fully knowledgeable about the individual's psychological strengths and weaknesses, talents and limitations.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Caregiver, while being generally knowledgeable about the individual, has some mild deficits in knowledge or understanding of the individual's psychological condition, talents, skills and assets.
-
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver does not know or understand the individual well and significant deficits exist in the caregiver's ability to relate to the individual's problems and strengths.
-
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Caregiver has little or no understanding of the individual's current condition. Caregiver's lack of knowledge about the individual's strengths and needs place the individual at risk of significant negative outcomes.

ORGANIZATION

This item rates the caregiver's ability to manage their household within the context of community services.

Questions to Consider

- Is the caregiver able to get to appointments on time?
- Is the caregiver able to manage their own household?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
Caregiver is well organized and efficient.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Caregiver has minimal difficulties with organizing and maintaining household to support needed services. For example, may be forgetful about appointments or occasionally fails to return case manager calls.
-
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver has moderate difficulty organizing and maintaining household to support needed services.
-
- 3 *Need prevents provision of care; requires immediate and/or intensive action.*
Caregiver is unable to organize household to support needed services.

SOCIAL RESOURCES

This item refers to the social assets (e.g., extended family) and resources that the caregiver(s) can bring to bear in addressing the multiple needs of the individual and family.

Questions to Consider

- Does the individual have any social resources that can help with the care of the individual?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
Caregiver has significant social and family networks that actively help with caregiving.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Caregiver has some family or friends or social network that actively helps with caregiving.
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Work needs to be done to engage family, friends or social network in helping with caregiving.
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Caregiver has no family or social network to help with caregiving.

HOUSING/RESIDENTIAL STABILITY

This item rates the housing stability of the caregiver(s) and does not include the likelihood that the individual will be removed from the household.

Questions to Consider

- Does the caregiver have a stable living situation?
- Where is the caregiver living?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
Caregiver has stable housing with no known risks of instability.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Caregiver has relatively stable housing but either has moved in the recent past or there are indications of housing problems that might force housing disruption.
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver has moved multiple times in the past year. Housing is unstable.
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Family is homeless, or has experienced homelessness in the recent past.

SAFETY

This item is used to refer to the safety of the assessed individual. It does not refer to the safety of other family or household members. The presence of an individual (family or stranger) that presents a safety risk to the individual should be rated. This item does not refer to the safety of the physical environment in which the individual lives (e.g., a broken or loose staircase).

Questions to Consider

- Does the caregiver provide a safe space for the individual?
- Are there other people in the area that could pose a safety risk to the individual?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
No evidence of safety issues. Household is safe and secure. Individual is not at risk from others.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Household is safe but concerns exist about the safety of the individual due to history or others who might be abusive.
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Individual is in some danger from one or more individuals with access to the home.
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Individual is in immediate danger from one or more individuals with unsupervised access.

FAMILY STRESS

This item reflects the degree of stress or burden experienced by the family as a result of the individual's needs as described elsewhere in the assessment.

Questions to Consider

- Does the individual's needs cause stress on the family?
- Is the family able to cope with the stress?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
Caregiver is able to manage the stress of the individual's needs.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
Caregiver has some problems managing the stress of the individual's needs.
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver has notable problems managing the stress of the individual's needs. This stress interferes with their capacity to give care.
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Caregiver is unable to manage the stress associated with the individual's needs. This stress prevents caregiver from providing care.

MENTAL HEALTH

This item refers to any serious mental health issues (not including substance abuse) among caregivers that might limit their capacity to provide care for the individual.

Questions to Consider

- Do caregivers have any mental health needs (including adjusting to trauma experiences) that make parenting difficult?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
No evidence of caregiver mental health difficulties.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
There is a history or suspicion of mental health difficulties, and/or caregiver is in recovery from mental health difficulties.
-
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver's mental health difficulties interfere with their capacity to parent.
-
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Caregiver has mental health difficulties that make it impossible to parent the individual at this time.

SUBSTANCE USE

This item rates the impact of any notable substance use by caregivers that might limit their capacity to provide care for the individual.

Questions to Consider

- Do caregivers have any substance use needs that make parenting difficult?
- Is the caregiver receiving any services for the substance use problems?

Ratings and Descriptions

- 0 *No current need; no need for action. This may be a resource for the individual.*
No evidence of caregiver substance use issues.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.*
There is a history of, suspicion or use of substances and/or caregiver is in recovery from substance use difficulties where there is no interference in their ability to parent.
-
- 2 *Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.*
Caregiver has some substance abuse difficulties that interfere with their capacity to parent.
-
- 3 *Need prevents the provision of care; requires immediate and/or intensive action.*
Caregiver has substance abuse difficulties that make it impossible to parent the individual at this time.

DEVELOPMENTAL

This item describes the presence of limited cognitive capacity or developmental disabilities that challenges the caregiver’s ability to parent.

<p>Questions to Consider</p> <ul style="list-style-type: none">• Does the caregiver have any developmental disabilities that interfere with their ability to provide care to the individual?	<p>Ratings and Descriptions</p>
	<p>0 <i>No current need; no need for action. This may be a resource for the individual.</i> No evidence of caregiver developmental disabilities or challenges. Caregiver has no developmental needs.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement. This may be an opportunity for resource building.</i> Caregiver has developmental challenges. The developmental challenges do not currently interfere with parenting.</p>
	<p>2 <i>Need is interfering with the provision of care; action is required to ensure that the identified need is addressed.</i> Caregiver has developmental challenges that interfere with the capacity to parent the individual.</p>
	<p>3 <i>Need prevents provision of care; requires immediate and/or intensive action.</i> Caregiver has severe developmental challenges that make it impossible to parent the individual at this time.</p>

MENTAL HEALTH—BEHAVIORAL/ EMOTIONAL NEEDS

The ratings in this section identify the behavioral health needs of the individual. While the ANSA is not a diagnostic tool, it is designed to be consistent with diagnostic communication. In the DSM, a diagnosis is defined by a set of symptoms that is associated with either dysfunction or distress. This is consistent with the ratings of '2' or '3' as described by the action levels below.

Please Note: Information on DSM-5 diagnoses is provided for informational and descriptive purposes only. The ANSA is not intended to be used for diagnostic purposes, and an individual does not have to have a specific diagnosis or meet diagnostic criteria in order to be rated actionable (a '2' or '3' on an item).

Question to Consider for this Domain: What are the presenting social, emotional, and behavioral needs of the individual?

For the **Behavioral/Emotional Needs domain**, use the following categories and action levels:

- 0 No evidence of any needs; no need for action.
- 1 Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.
- 2 Action is required to ensure that the identified need is addressed; need is interfering with functioning.
- 3 Need is dangerous or disabling; requires immediate and/or intensive action.

PSYCHOSIS

This item rates the symptoms of psychiatric disorders with a known neurological base, including schizophrenia spectrum and other psychotic disorders.

Questions to Consider

- Does the individual display any signs of psychosis?
- Does the individual have any hallucinations?
- Is the individual diagnosed with a psychotic disorder?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence of psychotic symptoms. Both thought processes and content are within normal range.

- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
Evidence of disruption in thought processes or content. Individual may be somewhat tangential in speech or evidence somewhat illogical thinking (age-inappropriate). This also includes an individual with a history of hallucinations but none currently. Use this category for an individual who is below the threshold for one of the DSM diagnoses listed above.

- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Evidence of disturbance in thought process or content that may be impairing the individual's functioning in at least one life domain. Individual may be somewhat delusional or have brief intermittent hallucinations. Speech may be at times quite tangential or illogical. [continues]

PSYCHOSIS (THOUGHT DISORDER) continued

- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Clear evidence of dangerous hallucinations, delusions, or bizarre behavior that might be associated with some form of psychotic disorder that places the individual or others at risk of physical harm.

Supplemental Information: The common symptoms of these disorders include hallucinations (i.e., experiencing things others do not experience), delusions (i.e., a false belief or an incorrect inference about reality that is firmly sustained despite the fact that nearly everybody thinks the belief is false or proof exists of its inaccuracy), disorganized thinking, and bizarre/idiosyncratic behavior.

COGNITION

This item rates any needs related to the cognitive or intellectual functioning of the individual. Cognitive functions include the individual's understanding and awareness of the world around them and their ability to learn, think and remember. Dementia and brain injury could be rated here.

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
This level indicates an individual with no evidence of problems with cognitive functioning.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
Individual has some indicators that cognitive skills are not appropriate for age or are at the upper end of age expectations. Individual may seem unaware of surroundings at times. They may have challenges in remembering routines, and completing tasks such as sorting, or recognizing colors some of the time.
-
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Individual has clear indicators that cognitive development is not at expected level and interferes with functioning much of the time. Dementia might be rated here before it causes problems and becomes dangerous. Traumatic Brain Injury could be rated here.
-
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Individual has significant delays in cognitive functioning that are seriously interfering with their functioning. Individual is completely reliant on caregivers or others to function.

Questions to Consider

- Does the individual have any difficulties with forgetfulness or confusion?
- Has the individual been diagnosed with Traumatic Brain Injury or Dementia?

IMPULSE CONTROL

Problems with impulse control and impulsive behaviors, including motoric disruptions, are rated here.

Questions to Consider

- Does the individual act impulsively?
- Does the individual display hyperactive behaviors?
- Does the individual have a diagnosis of ADHD?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence of symptoms of loss of control of behavior.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
There is a history or evidence of mild levels of impulsivity evident in action or thought that place the individual at risk of future functioning difficulties. The individual may exhibit limited impulse control, e.g., individual may yell out answers to questions or may have difficulty waiting one's turn. Some motor difficulties may be present as well, such as pushing or shoving others.
-
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Clear evidence of problems with impulsive, distractible, or hyperactive behavior that interferes with the individual's functioning in at least one life domain. This indicates an individual with impulsive behavior who may represent a significant management problem for adults (e.g., caregivers, teachers, coaches, etc.). An individual who often intrudes on others and often exhibits aggressive impulses would be rated here.
-
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Clear evidence of a dangerous level of hyperactivity and/or impulsive behavior that places the individual at risk of physical harm. This indicates an individual with frequent and significant levels of impulsive behavior that carries considerable safety risk (e.g., running into the street, dangerous driving or bike riding). The individual may be impulsive on a nearly continuous basis. The individual endangers self or others without thinking.

Supplemental Information: This item includes behavioral symptoms associated with Attention-Deficit Hyperactivity Disorder (ADHD), Impulse-Control Disorders and mania as indicated in the DSM-5. Individuals with impulse problems tend to engage in behavior without thinking, regardless of the consequences. This can include compulsions to engage in gambling, violent behavior (e.g., road rage), and sexual behavior, fire-starting or stealing.

ANGER CONTROL

This item captures the individual's ability to identify and manage their anger when frustrated.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• How does the individual deal with anger?• Does the individual get violent when angry?	<p>0 <i>No evidence of any needs; no need for action.</i> No evidence of any anger control problems.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History, suspicion, or evidence of some problems with controlling anger. Individual may sometimes become verbally aggressive when frustrated. Peers and family are aware of and may attempt to avoid stimulating angry outbursts.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual's difficulties with controlling anger are impacting functioning in at least one life domain. Individual's temper has resulted in significant trouble with peers, family and/or school/work. Anger may be associated with physical violence. Others are likely quite aware of anger potential.</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual's temper or anger control problem is dangerous. Individual frequently gets into fights that are often physical. Others likely fear the individual.</p>

EATING DISTURBANCE

This item includes problems with eating including disturbances in body image, refusal to maintain normal body weight and recurrent episodes of binge eating. These ratings are consistent with DSM Eating Disorders.

	Ratings and Descriptions
<p>Questions to Consider</p> <ul style="list-style-type: none">• Does the individual have any issues with eating?• Is the individual overly picky?• Does the individual have any eating rituals?	<p>0 <i>No evidence of any needs; no need for action.</i> This rating is for an individual with no evidence of eating disturbances.</p>
	<p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> This rating is for an individual with a mild level of eating disturbance. This could include some preoccupation with weight, calorie intake, or body size or type when of normal weight or below weight. This could also include some binge eating patterns.</p>
	<p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> This rating is for an individual with a moderate level of eating disturbance. This could include a more intense preoccupation with weight gain or becoming fat when underweight, restrictive eating habits or excessive exercising in order to maintain below normal weight, and/or emaciated body appearance. This level could also include more notable binge eating episodes that are followed by compensatory behaviors in order to prevent weight gain (e.g., vomiting, use of laxatives, excessive exercising). This individual may meet criteria for a DSM Eating Disorder (Anorexia or Bulimia Nervosa).</p>
	<p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> This rating is for an individual with a more severe form of eating disturbance. This could include significantly low weight where hospitalization is required or excessive binge-purge behaviors (at least once per day).</p>

DEPRESSION

This item rates symptoms such as irritable or depressed mood, social withdrawal, sleep disturbances, weight/eating disturbances, and loss of motivation, interest or pleasure in daily activities.

Questions to Consider

- Does the individual display any symptoms of depression?
- Does the individual have a diagnosis of depression?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence of problems with depression.
-
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
History or suspicion of depression or evidence of depression associated with a recent negative life event with minimal impact on life domain functioning. Brief duration of depression, irritability, or impairment of peer, family, or academic/occupational functioning that does not lead to pervasive avoidance behavior.
-
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Clear evidence of depression associated with either depressed mood or significant irritability. Depression has interfered significantly in individual's ability to function in at least one life domain.
-
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Clear evidence of disabling level of depression that makes it virtually impossible for the individual to function in any life domain. This rating is given to an individual with a severe level of depression. This would include an individual who stays at home or in bed all day due to depression or one whose emotional symptoms prevent any participation in school, work, friendship groups, or family life. Disabling forms of depressive diagnoses would be rated here.

Supplemental Information: This dimension can be used to rate symptoms of the depressive disorders as specified in DSM-5.

ANXIETY

This item rates symptoms associated with DSM-5 anxiety disorders characterized by excessive fear and anxiety and related behavioral disturbances (including avoidance behaviors). Panic attacks can be a prominent type of fear response.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• Does the individual worry?• Does the individual have excessive fear?• Does the individual avoid situations?• Is the individual diagnosed with an anxiety disorder?	0 <i>No evidence of any needs; no need for action.</i> No evidence of anxiety symptoms.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> There is a history, suspicion, or evidence of mild anxiety associated with a recent negative life event. This level is used to rate either a mild phobia or anxiety problem that is not yet causing the individual significant distress or markedly impairing functioning in any important context.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Clear evidence of anxiety associated with either anxious mood or significant fearfulness. Anxiety has interfered in the individual's ability to function in at least one life domain.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Clear evidence of debilitating level of anxiety that makes it virtually impossible for the individual to function in any life domain.

CONDUCT/ANTISOCIAL BEHAVIOR

This item rates the degree to which an individual engages in behavior that is consistent with the presence of an Antisocial Personality Disorder.

Ratings and Descriptions	
Questions to Consider <ul style="list-style-type: none">• Does the individual vandalize?• Does the individual steal?• Is the individual violent?• Is the individual diagnosed with an antisocial personality disorder?	0 <i>No evidence of any needs; no need for action.</i> Individual shows no evidence of antisocial behavior.
	1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> There is a history, suspicion or evidence of some problems associated with antisocial behavior including but not limited to lying, stealing, manipulation of others, acts of sexual aggression, or violence towards people, property or animals. The individual may have some difficulties in school and home behavior. Problems are recognizable but not notably deviant for age, sex and community.
	2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Clear evidence of antisocial behavior including but not limited to lying, stealing, manipulating others, sexual aggression, violence towards people, property, or animals. An individual rated at this level will likely meet criteria for a diagnosis of Antisocial Personality Disorder.
	3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Evidence of a severe level of aggressive or antisocial behavior, as described above, that places the individual or community at significant risk of physical harm due to these behaviors. This could include frequent episodes of unprovoked, planned aggressive or other antisocial behavior.

MANIA

This item is used to rate symptoms of mania such as excitability, racing thoughts, rapid speech, and grandiosity.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Does the individual experience racing thoughts, rapid speech, grandiosity, extreme disorganization?• Is the individual's functioning impaired by their periods of mania?	<p>0 <i>No evidence of any needs; no need for action.</i> This rating is given to an individual with no evidence or relevant history of mania.</p> <hr/> <p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> This level is used to describe an individual where there are some concerns about mania either because their mood state appears to be elevating or because they have a history of mania.</p> <hr/> <p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> This level is used to describe an individual who is currently manic and the mania is interfering with their functioning in at least one life domain.</p> <hr/> <p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> This level is used to describe a dangerous or disabling level of mania.</p>

INTERPERSONAL PROBLEMS

This item identifies problems with relating to other people including significant manipulative behavior, social isolation, or significant conflictual relationships. The presence of any DSM personality disorder may be rated here.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Does the individual have any manipulative behaviors?• Does the individual socially isolate themselves?• Is the individual diagnosed with personality disorders?	<p>0 <i>No evidence of any needs; no need for action.</i> No evidence of notable interpersonal problems identified.</p> <hr/> <p>1 <i>Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or evidence of some interpersonal problems; behavior is probably sub-threshold for the diagnosis of personality disorder. Mild but consistent antisocial or narcissistic behavior is rated here.</p> <hr/> <p>2 <i>Action is required to ensure that the identified need is addressed; need is interfering with functioning.</i> Individual's relationship problems are beginning to interfere with their life functioning and may warrant a DSM personality disorder diagnosis.</p> <hr/> <p>3 <i>Need is dangerous or disabling; requires immediate and/or intensive action.</i> Individual's interpersonal problems have a significant impact on the individual's long-term functioning. Interpersonal problems are disabling and block the individual's ability to function independently.</p>

ADJUSTMENT TO TRAUMA

This item is used to describe the individual who is having difficulties adjusting to a traumatic experience, as defined by the individual.

Questions to Consider

- Has the individual experienced any trauma?
- How is the individual adjusting to the trauma?

Ratings and Descriptions

0 *No evidence of any needs; no need for action.*

No evidence that individual has experienced a traumatic life event, OR individual has adjusted well to traumatic/adverse experiences.

1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*

The individual has experienced a traumatic event and there are some changes in their behavior that are managed or supported by caregivers. These symptoms are expected to ease with the passage of time and therefore no current intervention is warranted. Individual may be in the process of recovering from a more extreme reaction to a traumatic experience, which may require a need to watch these symptoms or engage in preventive action.

2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*

Clear evidence of adjustment problems associated with traumatic life event(s). Symptoms can vary widely and may include sleeping or eating disturbances, regressive behavior, behavior problems or problems with attachment. Adjustment is interfering with individual's functioning in at least one life domain.

3 *Need is dangerous or disabling; requires immediate and/or intensive action.*

Clear evidence of debilitating level of trauma symptoms that makes it virtually impossible for the individual to function in any life domain including symptoms such as flashbacks, nightmares, significant anxiety, intrusive thoughts, and/or re-experiencing trauma (consistent with PTSD).

Supplemental Information: This is one item where speculation about why a person is displaying a certain behavior is considered. There should be an inferred link between the trauma and behavior.

POTENTIALLY TRAUMATIC/ADVERSE CHILDHOOD EXPERIENCES

All of the potentially traumatic/adverse childhood experiences items are static indicators. In other words, these items indicate whether or not an individual has experienced a particular trauma. If the individual has ever had one of these experiences it would always be rated in this section, even if the experience was not currently causing problems or distress in the individual’s life. Thus, these items are not expected to change except in the case that the individual has a new trauma experience or a historical trauma is identified that was not previously known.

Question to Consider for this Module: Has the individual experienced adverse life events?

Rate these items within the individual’s lifetime.

For the **Potentially Traumatic/Adverse Childhood Experiences**, the following categories and descriptions are used:

- No No evidence of any trauma of this type.
- Yes Individual has had experience or there is suspicion that the individual has experienced this type of trauma—one incident, multiple incidents, or chronic, on-going experiences.

NEGLECT

This item describes whether or not the individual has experienced neglect. Neglect can refer to a lack of food, shelter or supervision (physical neglect), lack of access to needed medical care (medical neglect), or failure to receive academic instruction (educational neglect).

Questions to Consider

- Is the individual receiving adequate supervision?
- Has the individual been denied their needs for food and shelter?
- Is the individual allowed access to necessary medical care? Education?

Ratings and Descriptions

- No There is no evidence that the individual has experienced neglect.
- Yes Individual has experienced neglect, or there is a suspicion that they experienced neglect. This includes occasional neglect (e.g., individual left home alone for a short period of time when developmentally inappropriate and with no adult supervision, or occasional failure to provide adequate supervision of the individual); multiple and/or prolonged absences of adults, with minimal supervision; or failure to provide basic necessities of life (adequate food, shelter, or clothing) on a regular basis.

SEXUAL ABUSE

This item describes whether or not the individual has experienced sexual abuse.

Questions to Consider

- Has the individual disclosed sexual abuse?
- Is there suspicion or evidence that the individual has been sexually abused?

Ratings and Descriptions

- No There is no evidence that the individual has experienced sexual abuse.
- Yes Individual has experienced sexual abuse, or there is a suspicion that they have experienced sexual abuse – including single or multiple episodes, or chronic over an extended period of time. The abuse may have involved penetration, multiple perpetrators, and/or associated physical injury. Individual with exposure to secondary sexual abuse (e.g., witnessing sexual abuse, having a sibling sexually abused) should be rated here.

PARENT/CAREGIVER MENTAL ILLNESS

This item describes whether or not the individual has a history of living with a parent/caregiver with mental illness prior to the age of 18.

Questions to Consider

- Has the individual ever lived with a parent/caregiver who had mental health issues (e.g., depression, schizophrenia, bipolar disorder, PTSD, or an anxiety disorder)?

Ratings and Descriptions

- No There is no evidence that the individual has a history of living with a parent/caregiver with mental illness
-
- Yes Prior to the age of 18, individual lived with a parent/caregiver with mental illness or there is a suspicion that they did.

DISRUPTIONS IN CAREGIVING/ATTACHMENT LOSSES

This item documents the extent to which an individual has had one or more major changes in caregivers, potentially resulting in disruptions in attachment.

Questions to Consider

- Has the individual ever lived apart from their caregivers?
- Have there ever been changes in the relationship status of the individual's caregiver(s)?
- What happened that resulted in the individual living apart from their caregivers?

Ratings and Descriptions

- No There is no evidence that the individual has experienced disruptions in caregiving and/or attachment losses.
-
- Yes Individual has been exposed to, or there is suspicion that they were exposed to, at least one disruption in caregiving with familiar alternative caregivers or unknown caregivers (this includes placement in foster or other out-of-home care such as residential care facilities). Individual may or may not have had ongoing contact with primary attachment figure(s) during this disruption. Shift in caregiving may have been temporary or permanent.

Supplemental Information: Individuals who have been exposed to disruptions in caregiving involving separation from primary attachment figure(s) and/or attachment losses would be rated here. **This includes parent/caregiver divorce or separation.** Individuals who have had placement changes, including stays in foster care as a child/youth, residential treatment facilities or in justice settings, can be rated here. Short-term hospital stays or brief detention stays, during which the individual's caregiver remained the same, would not be rated on this item.

EMOTIONAL ABUSE

This item describes whether or not the individual has experienced verbal and/or nonverbal emotional abuse, including belittling, shaming, and humiliating an individual, calling names, making negative comparisons to others, or telling an individual that they are “no good.” This item includes both “emotional abuse,” which would include psychological maltreatment such as insults or humiliation, and “emotional neglect,” described as the denial of emotional attention and/or support from others.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Is the individual subject to name calling or shaming in their home?	<p>No There is no evidence that individual has experienced emotional abuse.</p> <hr/> <p>Yes Individual has experienced emotional abuse, or there is a suspicion that they have experienced emotional abuse (mild to severe, for any length of time) including: insults or occasionally being referred to in a derogatory manner, being denied emotional attention or completely ignored, or threatened/terrorized by others.</p>

PHYSICAL ABUSE

This item describes whether or not the individual has experienced physical abuse.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Is physical discipline used in the home? What forms?Has the individual ever received bruises, marks, or injury from discipline?	<p>No There is no evidence that the individual has experienced physical abuse.</p> <hr/> <p>Yes Individual has experienced or there is a suspicion that they experienced physical abuse – mild to severe, or repeated physical abuse with sufficient physical harm requiring medical treatment.</p>

WITNESS TO FAMILY VIOLENCE

This item describes exposure to violence within the individual’s home or family.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Is there frequent fighting in the individual’s family?Does the fighting ever become physical?	<p>No There is no evidence the individual has witnessed family violence.</p> <hr/> <p>Yes Individual has witnessed, or there is a suspicion that they witnessed family violence – single, repeated, or severe episodes. This includes episodes of family violence but no significant injuries (i.e. requiring emergency medical attention) and episodes in which significant injuries have occurred as a direct result of the violence.</p>

PARENTAL CRIMINAL BEHAVIOR

This item describes the criminal behavior of both biological and step-parents, and other legal guardians, but not foster parents.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Has the individual’s parent/guardian or family been involved in criminal activities or ever been in jail?	<p>No There is no evidence that individual’s parents have ever engaged in criminal behavior.</p> <hr/> <p>Yes One or both of the individual’s parents/guardians have a history of criminal behavior that resulted in a conviction or incarceration. A suspicion that one or both of the individual’s parents/guardians have a history of criminal behavior that resulted in conviction or incarceration would be rated here.</p>

PARENT/CAREGIVER SUBSTANCE ABUSE

This item describes whether or not there is a history of a parent/caregiver abusing alcohol and/or illegal drugs, and/or misusing prescription medications before the individual was 18 years old.

Questions to Consider

- Did the individual's biological parent or any caregiver ever had a problem with alcohol, illegal drugs or prescription medication use?

Ratings and Descriptions

- No There is no evidence that the parent/caregiver struggled with substance use.
- Yes Prior to the age of 18, the individual lived with parents/caregiver who abused alcohol and/or illegal drugs, and/or misused prescription medications, or there is a suspicion that this occurred.

MEDICAL TRAUMA

This item describes whether or not the individual has experienced medically-related trauma, resulting from, for example, inpatient hospitalizations, outpatient procedures, and significant injuries.

Questions to Consider

- Has the individual had any broken bones, stitches or other medical procedures?
- Has the individual had to go to the emergency room, or stay overnight in the hospital?

Ratings and Descriptions

- No There is no evidence that the individual has experienced any medical trauma.
- Yes Individual has had a medical experience that was perceived as emotionally or mentally overwhelming. This includes events that were acute in nature and did not result in ongoing medical needs; associated distress such as minor surgery, stitches or bone setting; acute injuries and moderately invasive medical procedures such as major surgery that required only short-term hospitalization; events that may have been life threatening and may have resulted in chronic health problems that alter the individual's physical functioning. A suspicion that an individual has had a medical experience that was perceived as emotionally or mentally overwhelming should be rated here.

Supplemental Information: This item takes into account the impact of the event on the individual. It describes experiences in which the individual is subjected to medical procedures that are experienced as upsetting and overwhelming. An individual born with physical deformities who is subjected to multiple surgeries could be included. An individual who must experience chemotherapy or radiation could also be included. Individuals who experience an accident and require immediate medical intervention that results in on-going physical limitations or deformities (e.g., burn victims) could be included here. Common medical procedures, which are generally not welcome or pleasant but are also not emotionally or psychologically overwhelming for individuals (e.g., shots, pills) would generally not be rated here.

WITNESS TO COMMUNITY/SCHOOL VIOLENCE

This item describes the exposure to incidents of violence the individual has witnessed or experienced in their community. This includes witnessing violence at the individual's school, educational or work setting.

Questions to Consider

- Does the individual live in a neighborhood with frequent violence?
- Has the individual witnessed or directly experienced violence at their school or work?

Ratings and Descriptions

- No There is no evidence that the individual has witnessed violence in their community or school or work setting.
- Yes Individual has witnessed or experienced violence in their community, school or work setting, such as: fighting; friends/family injuries as a result of violence; severe and repeated instances of violence and/or the death of another person in their community/school/work as a result of violence; is the direct victim of violence/criminal activity in the community/school/work that was life threatening; or has experienced chronic/ongoing impact as a result of community/school/work violence (e.g., family member injured and no longer able to work). A suspicion that the individual has witnessed or experienced violence in the community would be rated here.

WAR/TERRORISM AFFECTED

This item describes the individual's exposure to war, political violence, torture or terrorism.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Has the individual or their family lived in a war-torn region?• How close were they to war or political violence, torture or terrorism?• Was the individual displaced?	<p>No No evidence that the individual has been exposed to war, political violence, torture or terrorism.</p> <hr/> <p>Yes Individual has experienced, or there is suspicion that they have experienced or been affected by war, terrorism or political violence. Examples include: Family members directly related to the individual may have been exposed to war, political violence, or torture resulting in displacement, injury or disability, or death; parents may have been physically or psychologically disabled from the war and are unable to adequately care for the individual; individual may have spent an extended amount of time in a refugee camp, or feared for their own life during war or terrorism due to bombings or shelling very near to them; individual may have been directly injured, tortured, or kidnapped in a terrorist attack; individual may have served as a soldier, guerrilla, or other combatant in their home country. Also included is an individual who did not live in war or terrorism-affected region or refugee camp, but whose family was affected by war.</p>

Supplemental Information: Terrorism is defined as “the calculated use of violence or the threat of violence to inculcate fear, intended to coerce or to intimidate governments or societies in the pursuit of goals that are generally political, religious or ideological.” Terrorism includes attacks by individuals acting in isolation (e.g. sniper attacks).

NATURAL OR MANMADE DISASTER

This item describes the individual's exposure to either natural or manmade disasters.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">• Has the individual been present during a natural or manmade disaster?• Does the individual watch television shows containing these themes?	<p>No There is no evidence that the individual has experienced, been exposed to or witnessed natural or manmade disasters.</p> <hr/> <p>Yes Individual has experienced, been exposed to or witnessed natural or manmade disasters either directly or second-hand (e.g., on television, hearing others discuss disasters). This includes disasters such as a fire or earthquake or manmade disaster; car accident, plane crashes, or bombings; observing a caregiver who has been injured in a car accident or fire or watching a neighbor's house burn down; a disaster that caused significant harm or death to a loved one; or there is an ongoing impact or life disruption due to the disaster (e.g. caregiver loses job). A suspicion that the individual has experienced, been exposed to or witnessed natural or manmade disasters either directly or second-hand would be rated here.</p>

WITNESS/VICTIM -- CRIMINAL ACTS

This item describes the individual's exposure to criminal activity. Criminal behavior includes any behavior for which an adult could go to prison including drug dealing, prostitution, assault, or battery.

Questions to Consider

- Has the individual or someone in their family ever been the victim of a crime?
- Has the individual seen criminal activity in the community or home?

Ratings and Descriptions

- No There is no evidence that the individual has been victim of or a witness to criminal activity.
- Yes Individual has been victimized, or there is suspicion that they have been victimized or have witnessed criminal activity. This includes a single instance, multiple instances, or chronic and severe instances of criminal activity that was life threatening or caused significant physical harm, or individual has witnessed the death of a family friend or loved one.

Supplemental Information: Any behavior that could result in incarceration is considered criminal activity. An individual who has been sexually abused or witnesses a sibling being sexually abused or physically abused to the extent that assault charges could be filed would be rated here and on the appropriate abuse-specific items. An individual who has witnessed drug dealing, prostitution, assault or battery would also be rated on this item.

SUBSTANCE USE

SUBSTANCE USE*

This item describes problems related to the use of alcohol and illegal drugs, the misuse of prescription medications, and the inhalation of any chemical or synthetic substance by an individual.

Questions to Consider

- Does the individual misuse any substances?
- Does the individual take medications as prescribed?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
Individual has no notable substance use difficulties at the present time.
- 1 *Identified need that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
Individual has substance use problems that occasionally interfere with daily life (e.g., intoxication, loss of money, reduced work/school performance, parental concern). History of substance use problems without evidence of current problems related to use is rated here.
- 2 *Action is required to ensure that the identified need is addressed; need is interfering with functioning.*
Individual has a substance use problem that consistently interferes with the ability to function optimally, but does not completely preclude functioning in an unstructured setting.
- 3 *Need is dangerous or disabling; requires immediate and/or intensive action.*
Individual has a substance use problem that represents complications to functional issues that may result in danger to self, public safety issues, or the need for detoxification of the individual.

Supplemental Information: This rating is consistent with DSM-5 Substance-Related and Addictive Disorders. This item does not apply to the use of tobacco or caffeine.

***A rating of '1', '2' or '3' on this item triggers the completion of the [B] Substance Use Disorder Module.**

[B] SUBSTANCE USE DISORDER MODULE

The items in this module focus on different elements/issues related to using substances. This module is to be completed when the Substance Use item is rated '1,' '2' or '3.'

Rate the following items within the last 30 days unless specified by anchor descriptions.

SEVERITY OF USE

This item rates the frequency and severity of the individual's current substance use.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none"> Is the individual currently using substances? If so, how frequently? Is there evidence of physical dependence on substances? 	0 Individual is currently abstinent and has maintained abstinence for at least six months.
	1 Individual is currently abstinent but only in the past 30 days or individual has been abstinent for more than 30 days but is living in an environment that makes substance use difficult.
	2 Individual actively uses alcohol or drugs but not daily.
	3 Individual uses alcohol and/or drugs on a daily basis.

DURATION OF USE

This item identifies the length of time that the individual has been using drugs or alcohol.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none"> How long has the individual been using drugs and/or alcohol? 	0 Individual has begun use in the past year.
	1 Individual has been using alcohol or drugs for at least one year but has had periods of at least 30 days where the individual did not have any use.
	2 Individual has been using alcohol or drugs for at least one year (but less than five years), but not daily.
	3 Individual has been using alcohol or drugs daily for more than the past year or intermittently for at least five years.

STAGE OF RECOVERY

This item identifies where the individual is in their recovery process.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none"> In relation to stopping substance use, at what stage of change is the individual? 	0 Individual is in maintenance stage of recovery. Individual is abstinent and able to recognize and avoid risk factors for future alcohol or drug use.
	1 Individual is actively trying to use treatment to remain abstinent.
	2 Individual is in contemplation phase, recognizing a problem but not willing to take steps for recovery.
	3 Individual is in denial regarding the existence of any substance use problem.

PEER INFLUENCES

This item identifies the impact that the individual's social group has on their substance use.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">What role do the individual's peers play in their alcohol and drug use?	0 Individual's primary peer social network does not engage in alcohol or drug use.
	1 Individual has peers in their primary peer social network who do not engage in alcohol or drug use but has some peers who do.
	2 Individual predominantly has peers who engage in alcohol or drug use but they are not a member of a gang.
	3 Individual is a member of a peer group that consistently engages in alcohol or drug use.

ENVIRONMENTAL INFLUENCES

This item rates the impact of the individual's community environment on their alcohol and drug use.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Are there factors in the individual's community that impact their alcohol and drug use?	0 No evidence that the individual's environment stimulates or exposes them to any alcohol or drug use.
	1 Suspicion that individual's environment might expose them to alcohol or drug use.
	2 Individual's environment clearly exposes them to alcohol or drug use.
	3 Individual's environment encourages or enables them to engage in alcohol or drug use.

RECOVERY SUPPORT IN COMMUNITY

This item describes the individual's participation in recovery programs such as AA, NA, or other types of recovery groups or activities that are community-based.

Questions to Consider	Ratings and Descriptions
<ul style="list-style-type: none">Is the individual currently attending or have they ever been involved with a recovery support group?	0 No problems with maintaining social connectivity through recovery support groups or activities. Individual attends recovery support groups and meetings regularly.
	1 Problems with maintaining social connectivity through recovery support groups or activities. Individual may attend meetings irregularly.
	2 Individual struggles with maintaining social connectivity through recovery support groups or activities. Individual has attended recovery support groups in the past but is no longer attending meetings.
	3 Individual is unable to maintain social connectivity through recovery support groups or activities. Individual has never participated in recovery support groups or activities.

End of the Substance Use Disorder Module

RISK BEHAVIORS DOMAIN

This section focuses on factors that can increase an individual’s likelihood of mental health and other difficulties developing and well as current behaviors that place the individual at risk. Time frames in this section can change (particularly for ratings 1 and 3) away from the standard 30-day rating window.

Question to Consider for this Domain: Does the individual have any behaviors that pose a risk to themselves or to others?

For **Risk Behaviors domain**, use the following categories and action levels:

- 0 No evidence of any needs; no need for action.
- 1 Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.
- 2 Action is required to ensure that the identified need or risk behavior is addressed.
- 3 Intensive and/or immediate action is required to address the need or risk behavior.

SELF-MUTILATION/SELF-INJURIOUS BEHAVIOR (SELF-HARM)

This item rates repetitive, physically harmful behavior that generally serves as a self-soothing function to the individual (e.g., cutting, carving, burning self, face slapping, head banging, etc.).

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none"> • Has the individual ever performed self-harm? • Does the individual have any physical injuries from self-harming behavior? 	0 <i>No evidence of any needs; no need for action.</i> No evidence of any forms of self-injury.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> A history or suspicion of self-injurious behavior.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Engaged in self-injurious behavior (cutting, burns, piercing skin with sharp objects, repeated head banging) that does not require medical attention.
	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Engaged in self-injurious behavior requiring medical intervention (e.g., sutures, surgery) and that is significant enough to put the individual’s health at risk.

OTHER SELF HARM/RECKLESSNESS

This item rates reckless and dangerous behaviors that, while not intended to harm self or others, place the individual or others in some jeopardy.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> No evidence of behaviors (other than suicide or self-mutilation) that place the individual at risk of physical harm.
	1	<i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> There is a history or suspicion of or mild reckless or risk-taking behavior (other than suicide or self-mutilation) that places individual at risk of physical harm.
	2	<i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Engaged in reckless or intentional risk-taking behavior (other than suicide or self-mutilation) that places the individual in danger of physical harm.
	3	<i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Engaged in reckless or intentional risk-taking behavior (other than suicide or self-mutilation) that places the individual at immediate risk of death.

Supplemental Information: Suicidal or self-injurious behaviors are not rated here.

DANGER TO SELF

This item is intended to describe the presence of thoughts or behaviors aimed at taking one's life. This rating describes both suicidal and significant self-injurious behavior. This item rates overt and covert thoughts and efforts on the part of an individual to end their life.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> No evidence of suicidal ideation.
	1	<i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History of suicidal ideation, but no recent ideation or gesture. History of suicidal behaviors or significant ideation but none during the recent past.
	2	<i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Recent, but not acute, suicidal ideation or gesture.
	3	<i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Current suicidal ideation and intent OR command hallucinations that involve self-harm.

Supplemental Information: A rating of '2' or '3' would indicate the need for a safety plan. Notice the specific time frames for each rating.

SUICIDE HISTORY

This item refers to suicidal ideation or/and behaviors that an individual engages in. Please rate the highest level experienced.

Questions to Consider

- Has the individual ever attempted suicide? If so, how did the individual make that attempt?
- Was it a method that is typically lethal?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No history of suicidal ideation or attempt.
-
- 1 *Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
History of significant suicidal ideation but no potentially lethal attempts.
-
- 2 *Action is required to ensure that the identified need or risk behavior is addressed.*
History of a potentially lethal suicide attempt.
-
- 3 *Intensive and/or immediate action is required to address the need or risk behavior.*
History of multiple potentially lethal suicide attempts.

DANGER TO OTHERS

This item rates the individual's violent or aggressive behavior. The intention of this behavior is to cause significant bodily harm to others.

Questions to Consider

- Has the individual ever been aggressive towards others?
- Does the individual have homicidal ideation?

Ratings and Descriptions

- 0 *No evidence of any needs; no need for action.*
No evidence or history of aggressive behaviors or significant verbal threats of aggression towards others (including people and animals).
-
- 1 *Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.*
History of aggressive behavior or verbal threats of aggression towards others. History of fire setting would be rated here.
-
- 2 *Action is required to ensure that the identified need or risk behavior is addressed.*
Occasional or moderate level of aggression towards others. Individual has made verbal threats of violence towards others.
-
- 3 *Intensive and/or immediate action is required to address the need or risk behavior.*
Acute homicidal ideation with a plan, frequent or dangerous (significant harm) level of aggression to others. Individual is an immediate risk to others.

Supplemental Information: A rating of '2' or '3' would indicate the need for a safety plan. Reckless behavior that may cause physical harm to others is not rated on this item.

HISTORY OF VIOLENCE

This item rates the individual's history of violence.

Questions to Consider	Ratings and Descriptions
	0 <i>No evidence of any needs; no need for action.</i> No evidence of any history of violent behavior by the individual.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has engaged in mild forms of violent behavior including vandalism, minor destruction of property, physical fights in which no one was injured (e.g. shoving, wrestling).
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual has engaged in moderate forms of violent behavior including fights in which participants were injured. Cruelty to animals would be rated here unless it resulted in significant injury or death of the animal.

	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual has initiated unprovoked violent behaviors on other people that resulted in injuries to these people. Cruelty to animals that resulted in significant injury or death to the animal would be rated here.
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HOSTILITY

This item rates the perception of others regarding the individual's level of anger and hostility.

Questions to Consider	Ratings and Descriptions
	0 <i>No evidence of any needs; no need for action.</i> Individual appears to not experience or express hostility except in situations where most people would become hostile.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual appears hostile but does not express it. Others experience individual as being angry.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual expresses hostility regularly.

	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual is almost always hostile either in expression or appearance. Others may experience individual as 'full of rage' or 'seething'
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PARANOID THINKING

This item rates the existence/level of paranoid thinking experienced by the individual.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Does the individual seem suspicious?• Is there any evidence of paranoid thinking/beliefs?• Is the individual very guarded?	0 <i>No evidence of any needs; no need for action.</i> Individual does not appear to engage in any paranoid thinking.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual is suspicious of others but is able to test out these suspicions and adjust their thinking appropriately.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual believes that others are 'out to get' them. Individual has trouble accepting that these beliefs may not be accurate. Individual at times is suspicious and guarded but at other times can be open and friendly.
	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual believes that others plan to cause them harm. Individual is nearly always suspicious and guarded.

SEXUAL AGGRESSION

This item is intended to describe both aggressive sexual behavior and sexual behavior in which the individual takes advantage of a younger or less powerful individual. The severity and recency of the behavior provide the information needed to rate this item.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Is the individual sexually aggressive towards others?• Is the individual sexually aggressive towards those younger or less powerful than them?	0 <i>No evidence of any needs; no need for action.</i> No evidence of sexually aggressive behavior.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or suspicion of sexually aggressive behavior and/or sexually inappropriate behavior within the past year that troubles others such as harassing talk or public excessive masturbation.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual engages in sexually aggressive behavior that impairs their functioning. For example, frequent inappropriate sexual behavior (e.g., inappropriate touching of others). Frequent disrobing would be rated here only if it was sexually provocative.
	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual engages in a dangerous level of sexually aggressive behavior. This would indicate the rape or sexual abuse of another person involving sexual penetration.

DELINQUENCY/CRIMINAL BEHAVIOR

This item includes both criminal behavior and status offenses that may result from the individual failing to follow required behavioral standards. This category does not include drug usage but it does include drug sales and other drug-related activities. Sexual offenses should be included as criminal behavior.

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Has the individual ever been arrested?• Has the individual broken any laws (even if they have not been caught or charged)?	0 <i>No evidence of any needs; no need for action.</i> No evidence or history of criminal behavior.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> A history of criminal behavior but none in the past year.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual has been engaged in criminal activity during the past year, but the criminal activity does not represent a significant physical risk to others in the community. Examples would include vandalism and shoplifting.
	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual has been engaged in violent criminal activity during the past year which represent a significant physical risk to others in the community. Examples would include rape, armed robbery, and assault.

VICTIMIZATION/EXPLOITATION

This item describes an individual who has been victimized by others. This item is used to examine a history and pattern of being the object of abuse and/or whether the person is at current risk for re-victimization or exploitation. It would also include individuals who are victimized in other ways (e.g., being bullied, sexual abuse, sexual exploitation, etc.).

	Ratings and Descriptions
Questions to Consider <ul style="list-style-type: none">• Has the individual ever been exploited?• Is the individual currently being exploited?	0 <i>No evidence of any needs; no need for action.</i> No evidence that the individual has experienced a pattern of victimization or exploitation. They may have been bullied, robbed or burglarized on one or more occasions but not in the recent past, and no pattern of victimization exists. Individual is not presently at risk for re-victimization or exploitation.
	1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has a prior pattern of victimization or exploitation, but the individual has not been victimized to any significant degree in the past year. Individual is not presently at risk for re-victimization or exploitation.
	2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual has been recently victimized (within the past year) and may be at risk of re-victimization. This might include physical or sexual abuse, significant psychological abuse by family or friend, sexual exploitation, or violent crime.
	3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual has been recently or is currently being victimized or exploited, including human trafficking (e.g., labor or sexual exploitation including the production of pornography, sexually explicit performance, sexual activity) or living in an abusive relationship.

SEXUALLY INAPPROPRIATE BEHAVIOR

This item describes issues around sexual behavior including developmentally inappropriate sexual behavior and problematic sexual behavior.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> No evidence of problems with sexual behavior.
	1	<i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or evidence of problems with sexual behavior. This includes occasional inappropriate sexual behavior, language or dress. Poor boundaries with regards to physical/sexual contact may be rated here.
	2	<i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual's problems with sexual behavior are impairing functioning in at least one life area. For example, frequent inappropriate sexual behavior or disinhibition, including public disrobing, multiple older sexual partners or frequent sexualized language. Age-inappropriate sexualized behavior, or lack of physical/sexual boundaries is rated here.

	3	<i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Severe problems with sexual behavior including sexual exploitation, exhibitionism, sexually aggressive behavior or other severe sexualized or sexually reactive behavior.
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OTHER ADDICTIVE BEHAVIORS

This item describes behaviors, or a stimulus related to a behavior, that is both rewarding and reinforcing to an individual and leads to an addiction. The presence of an addictive behavior requires evidence of loss of control over the behavior, craving to engage in the behavior, withdrawal symptoms when the behavior is unavailable, and a need for an increasing frequency or intensity in the behavior. Addictive behaviors rated here include (but are not limited to) sex, gambling, gaming, social media, food, etc. Addictions related to drugs or alcohol are rated in the Substance Use item.

Questions to Consider	Ratings and Descriptions	
	0	<i>No evidence of any needs; no need for action.</i> No evidence that the individual experiences any behaviors that might be considered addictive.
	1	<i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> Individual has a history of engaging in specific behaviors that were addictive for that individual, OR there is suspicion that the individual is experiencing an addiction to a specific behavior.
	2	<i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Individual is engaging in addictive behaviors that are interfering with their functioning.

	3	<i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Individual is engaging in addictive behaviors that are either very dangerous or that prevent functioning in at least one life domain.
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FIRE SETTING

This item describes whether the individual intentionally starts fires using matches or other incendiary devices. Malicious or reckless use of fire should be rated here; however, fires that are accidental should not be considered fire setting.

	Ratings and Descriptions
Questions to Consider	
<ul style="list-style-type: none">Has the individual ever played with matches, or set a fire? If so, what happened?Did the fire setting behavior destroy property or endanger the lives of others?	<p>0 <i>No evidence of any needs; no need for action.</i> No evidence of fire setting by the individual.</p> <hr/> <p>1 <i>Need or risk behavior that requires monitoring, watchful waiting, or preventive action based on history, suspicion or disagreement.</i> History or suspicion of fire setting but not within the past six months.</p> <hr/> <p>2 <i>Action is required to ensure that the identified need or risk behavior is addressed.</i> Recent fire setting behavior (during the past six months) but not of the type that endangered the lives of others, OR repeated fire-setting behavior over a period of at least two years, even if not within the past six months.</p> <hr/> <p>3 <i>Intensive and/or immediate action is required to address the need or risk behavior.</i> Acute threat of fire setting. Individual has set fires that endangered the lives of others (e.g., attempting to burn down a house).</p>